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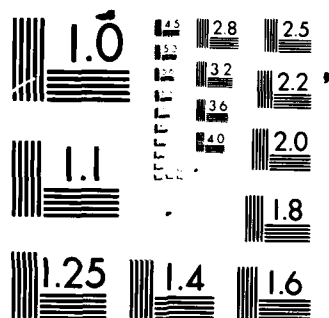
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## DEPENDENCE OF MIXED-LAYER ENTRAINMENT ON SHEAR STRESS AND VELOCITY JUMP

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## DEPENDENCE OF MIXED-LAYER ENTRAINMENT ON SHEAR STRESS AND VELOCITY JUMP

### ABSTRACT

From rotating-screen annulus experiments the entrainment rate,  $w_e$ , normalized by the friction velocity,  $u_*$ , has been found to be a function of both the overall Richardson number,  $R_r$ , and the inverse Froude number,  $R_v$ . The  $R_r^{-1/2}$  dependence deduced by Price (1979) and Thompson (1979) satisfactorily explains the present data if multiplied by an approximate  $R_v^{-1.4}$  dependence. The measurements indicate that  $R_v$  is a variable that is influenced by sidewall friction, time after onset of the surface stress, or other factors. The greater  $w_e/u_*$  values of the Kantha et al. (1977) type experiment over that of the Kato & Phillips (1969) experiment can be explained by somewhat greater  $R_v$  values in the latter case.

A close connection is now apparent between entrainment experiments in two-layer systems designed to have only one velocity scale (the interfacial velocity jump,  $\Delta v$ ), and the rotating-screen annulus experiments having two velocity scales ( $u_*$  and  $\Delta v$ ). The former also have (at least) two velocity scales, the second one being associated with the presence of turbulence throughout one or both of the fluid layers.

The turbulent layer is found to be quite well mixed in density only if  $w_e/u_*$  does not exceed about 0.03, or  $w_e/|\Delta v|$  does not exceed about 0.003. The present data suggest more rapid entrainment when temperature rather than salt provides the density jump, as first noted by Turner (1968) in oscillating grid experiments. If this is a Peclet number effect, the trend did not continue for still much greater Pe values, the data for kaolin (clay) being very compatible with that for salt.

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## 1. Introduction

The rate at which a well mixed turbulent layer entrains an adjacent non-turbulent layer in geophysically relevant situations is believed to depend upon the surface shear stress,  $\tau$ , the depth of the mixed layer,  $h$ , and the buoyancy jump  $b$  across the edge of the layer ( $b = g|\Delta\rho|/\rho_0$ , where  $g$  is the gravitational acceleration,  $\rho_0$  a reference density of one of the layers, and  $\Delta\rho$  the change in density across the outer edge of the mixed layer). If a surface flux of buoyancy or heat is present, that quantity may also be important; however here only the problem of the neutral surface layer driven by shear stress will be considered.

The first set of experiments undertaken to explore the dependence of the growth of a neutral mixed layer upon these factors was that of Kato and Phillips (1969, abbreviated KP) using an annulus of diameter 1.52m and gap  $\Delta r = 0.23$ m. The approximate relation they found is

$$w_e/u_* = 2.5 R_\tau^{-1} \quad (1)$$

where  $w_e$  is the entrainment rate, or mixed-layer growth rate,  $u_*$  is the friction velocity  $(|\tau|/\rho_0)^{1/2}$ , and

$$R_\tau = bh/u_*^2 \quad (2)$$

is an overall Richardson number. Their actual data suggested a power law somewhat less steep than  $R_\tau^{-1}$  at small  $R_\tau$  values ( $R_\tau < 50$ ) and somewhat steeper at larger values ( $R_\tau > 100$ ). In their experiments, using a turbulent layer of fresh water (driven by a rotating screen) overlying a non-turbulent linearly stratified outer layer (abbreviated SOL) of salt solution,  $u_*$  was obtained directly from torque measurements; however, quantitative velocity measurements were not made.

Later, using the same annulus, Kantha et al. (1977, abbreviated KPA) explored the two-layer system (abbreviated 2LS) in which the non-turbulent lower layer is denser than the turbulent upper layer but is not stratified. The entrainment rate was not found to obey any simple power-law dependence upon  $R_\tau$ ; values of  $w_e/u_*$

were about half those found by KP for the same values of  $R_\tau$  and  $h/\Delta r$  (Price, 1979). A significant reduction in  $w_e/u_*$  with increased sidewall drag (increased  $h/\Delta r$ ) was noted.

Soon thereafter further experiments with the 2LS were conducted by Kantha (1978) using a scaled-down annulus half as large as that of KP and KPA. His  $w_e/u_*$  versus  $R_\tau$  values closely resembled those of KPA but were substantially smaller ( $h/\Delta r$  was generally larger). Salinity profiles were also measured, and indicate, surprisingly, that the turbulent layer often was not very well mixed in salt content. Although the purpose of employing a smaller annulus was to determine if centrifugal effects were important, it was not clear if differences observed were associated with such effects or with generally larger sidewall drag. Near the inner annulus wall, for  $R_\tau > 100$ , centrifugal effects were apparently suppressing the turbulence, judging from shadowgraph observations.

An explanation for the discrepancy between the SOL and 2LS results was provided by Price (1979) and Thompson (1979). Price started with the momentum budget for the mixed layer, neglecting centrifugal effects and curvature:

$$\frac{\partial}{\partial t}(h\bar{v}) = u_*^2 - 2C_{DW}\bar{v}^2 h/\Delta r \quad (3)$$

where  $\bar{v}$  is the mean flow speed within the mixed layer and  $C_{DW}$  is the sidewall drag coefficient. Here,  $h$  is the depth of the assumed well mixed layer, which may be considerably smaller than the maximum depth reached by the mixed layer locally at any given time. Although (3) is most easily derived using the Boussinesq approximation and incompressibility condition, it can be shown to hold with equal accuracy even when the complete continuity equation is employed. Introducing the  $R_v$  notation:

$$R_v = bh/(\Delta v)^2 \quad (4)$$

where  $\Delta v$  is the velocity jump across the edge of the mixed layer in the vicinity of  $z=h$ , Price transformed (3) into

$$\frac{\partial}{\partial t} R_v = \frac{2}{n} \frac{w_e}{h} R_v + 4C_{DW} \frac{u_*}{\Delta r} R_\tau^{\frac{1}{2}} R_v^{\frac{1}{2}} - 2 \frac{u_*}{h} R_\tau^{-\frac{1}{2}} R_v^{3/2} \quad (5)$$

where it was shown from the mass budget that

$$n = \begin{cases} \frac{1}{2} & (\text{SOL}) \\ 1 & (\text{2LS}) \end{cases} \quad (6)$$

In deriving (5),  $|\Delta v|$  in (4) was assumed equal to  $\bar{v}$  in (3) for the experimental studies that were of immediate interest. Price then assumed that

$$R_v = \text{constant} \quad (7)$$

which can be likened to a critical Richardson number across the  $\Delta v$  layer always being attained. With this assumption, (5) became an entrainment relation:

$$w_e/u_* = n R_v^{\frac{1}{2}} R_\tau^{-\frac{1}{2}} (1 - 2C_{DW} R_v^{-1} R_\tau h/\Delta r) \quad (8)$$

The implication is that  $w_e/u_*$  should be two times larger with the 2LS configuration than with the SOL, for a given  $R_\tau$ , in close agreement with the observations. Thompson (1979) obtained the same result independently, and had already used (7) in the theory of Pollard et al. (1973); both stressed the importance of sidewall drag in limiting the flow speeds induced by the rotating screen and in causing  $w_e/u_*$  to decrease more rapidly than  $R_\tau^{-\frac{1}{2}}$  as  $h/\Delta r$  became appreciable. The value deduced for  $R_v$  was in the vicinity of 0.6.

The present study was motivated by the desire to determine in future studies how the entrainment rate is modified by the presence of a destabilizing buoyancy flux,  $F_b$ , at the surface when a surface shear stress and a velocity jump across  $z=h$  are also present. The limiting case would be free convection in the absence of  $u_*$  and  $\Delta v$ , for which  $R_v = \infty$ . An alternate configuration also producing  $R_v = \infty$  involves the use of an oscillating grid rather than a rotating screen to generate the turbulent boundary layer. If  $R_v$  can increase from 0.6 to  $\infty$  by substitution of a different mechanism of turbulent mixing, it could lie anywhere in between these two limits if all three mechanisms were present to maintain the turbulence. It



may therefore be questioned if  $R_v$  is sufficiently constant, during entrainment that is driven by 2 of these 3 mechanisms ( $u_*$  and  $\Delta v$ ), for (8) to be valid. To check this possibility requires entrainment measurements in which  $\Delta v$  is measured.

Assumption (7) has also been challenged by Kantha (1978) who utilized the model  $w_e/u_* \propto R_v^{-1/2} R_v^{-1/2}$ , with  $R_v$  being allowed to vary.

Another reason for desiring to check (8) is that in geophysical situations the  $C_{DW}$  term will be absent, yet a similar equation based on assumption (7) (e.g. see Pollard et al.) could be derived in which other forces would appear that could tend to alter  $\Delta v$ ; i.e., the horizontal pressure gradient and coriolis forces. Thus (8) would then imply that large-scale forces, which may cause  $\Delta v$  to change slowly with time, would directly affect the entrainment rate. This contrasts with the usual viewpoint that only parameters appearing in the turbulence kinetic energy (TKE) equation, or closely allied parameters, affect the entrainment rate (e.g., see Price et al., 1978). In particular, the TKE equation does not contain the coriolis parameter but does contain the buoyancy effect; (8) does not contain the latter except in self-cancelling form. Hence, there are good reasons for questioning the general validity of the  $R_v = \text{const}$  assumption which led to (8).

In the present study we therefore examine assumption (7) by means of direct measurements of  $\Delta v$  and other relevant quantities, and attempt to fit measured values of  $w_e/u_*$  by an entrainment relation which is qualitatively consistent with the TKE equation.

## 2. Experimental apparatus and procedures

Our primary annulus has inner and outer diameters of 0.82 and 1.18m, respectively, giving a gap  $\Delta r$  of 0.183m. It is about 0.8 of the size of the one KP and KPA used, and 1.7 the size of the one used by Kantha (1978). A second, inner annulus was also occasionally utilized; its outer wall is the same structure as the inner wall of the primary annulus, and its gap is  $\Delta r = 0.099\text{m}$ . The walls are very smooth and their separation constant to within  $\pm 2\text{mm}$ . A photograph of the apparatus is shown in Fig. 1. The annulus is situated within a penetrative convection tank

previously used in free convection studies. The rotating screen is located at the bottom of the annulus, rather than the top, because it was planned to add an upward directed heat flux through the screen in later studies. The rigid screen is flat and true to  $\pm 0.7\text{mm}$ , with a diamond-shaped mesh of size 6mm by 21mm. Underneath the screen are flat insulating sheets of 10mm thickness. A 5mm space between the screen and this surface is taken into account when calculating the salt-water mass budget of the lower layer; otherwise the screen is considered the  $z=0$  level.

Two large plastic windows in the outer annulus wall permit illumination and visual observations from the side. The windows join the fiberglass sidewalls smoothly with no abutments to the flow. A horizontally spread laser beam could be positioned close to the outer edge of the mixed layer (at  $z \approx h_L$ ) midway between annulus sidewalls, to determine mixed-layer heights as in Deardorff *et al.* (1980). The mixed layer was usually made visible by adding trace amounts of non-fat milk to the lower layer. The height of the laser beam was continuously fed into a 16-channel analog-to-digital data system and stored on tape along with other signals. By comparison with some salinity profiles, it was determined (see Section 4) that  $h_L \approx h_2 \approx 1.25h$ , where  $h_2$  is the greatest depth to which any mixed-layer fluid has penetrated at any given time. However, in experiments using temperature,  $h_L$  lay somewhat below  $h_2$ . The laser beam could also be utilized to determine the mean slope of the entrainment interface, associated with the centrifugal force. However,  $h_2-h$  could not be reliably estimated by visual methods.

Numerous polyolite particles (0.1 to 0.5mm diameter) with specific gravity of about 1.02 were also added to the water so that their passage between markers 0.2m apart could be noted and entered into the data system for conversion to mixed-layer velocities (see Fig. 1; the vertical lines are located on both side walls of the primary annulus so that parallax error is avoided). For this purpose only the central portion between side walls of the primary annulus was illuminated.

In a few experiments 0.1 mm particles were also inserted into the non-turbulent layer so that the mean flow, if any, at and beyond the outermost edge of the mixed layer could be similarly estimated. Due to momentum transfer by molecular viscosity,  $v(h_2)$  turned out to be an appreciable fraction of  $\bar{v}$  when  $w_e$  was small,

so that a correction had to be applied to derive  $\Delta v$  from  $\bar{v}$  (see Section 3).

In 3 experiments particle trajectories could not always be viewed, as when using the inner annulus. A slightly heavy float of height  $h_f = 9.7$  cm was then tracked within the turbulent layer to provide  $\bar{v}$ . The float was equipped with a horizontal ring of diameter  $0.9 \Delta r$  which caused it to drift midway between side walls. Its velocity relative to  $\bar{v}$  was calibrated in the primary annulus as a function of  $h_2/h_f$ , yielding downward corrections of 10-20% in  $\bar{v}$ .

In 2 SOL experiments, from which 8 entrainment data points were derived, a temperature gradient in water provided the density contrast, and the outer layer was given a linear stratification of about 1 C/cm. In 15 experiments (see Table 1) supplying 64 data points, the 2LS was employed with salt (NaCl) in the lower layer of water, and fresh or nearly fresh water in the upper layer. It may be noted that this configuration differed from that of KPA in that their fresh-water layer was the turbulent layer. However, the difference is not expected to affect the results. In one 2LS experiment of 3 data points, kaolin (clay) was used.

The friction velocity,  $u_*$ , was not measured directly but was inferred from the momentum balance or screen drag coefficient, as described in the next section.

In the 2 experiments with temperature as the stratification variable, it was measured by 2 vertically traversing thermocouples positioned midway between annulus side walls and separated  $90^\circ$  in arc. They were mounted from rods 3.2 mm in diameter which descended into the water from above. The thermocouple height and temperature signals were monitored continuously, with their outputs being averaged together to provide a better measure of the mean.

In the experiments using salt or kaolin, the density of the two layers was determined gravimetrically from samples withdrawn at the beginning and end of each experiment. The relative density difference,  $|\Delta \rho|/\rho_0$ , was kept below 6%, where  $\rho_0$  is the density of fresh water.

In 2 experiments with salt, profiles of  $\rho$  were obtained from an impedance probe (the support is visible in Fig. 1) of physical construction similar to the one

designed by Kantha (1978). One needle-like electrode is "platinized" platinum; a horizontal section of the stainless steel probe body provides the other electrode situated at the same mean height. The input ac voltage is of frequency 5 KHz ; the output dc voltage is nearly linear with density for  $1.004 < \rho < 1.06$  when NaCl is the constituent salt. Further details will be presented elsewhere. This probe was also traversed vertically, with density signal and height fed continuously into the data system.

For filling purposes, the annuli and surrounding tank were placed in free liquid contact by removal of a cover plate from a vertical slot in each of the walls of the two annuli. They were then partially filled with salt water of constant density to a depth of 0.05 to 0.1m; later fresh or nearly fresh water was carefully fed in from above using a floating pipe with many horizontally pointing orifices. The total water depth was 0.30m. After replacement of the wall-slot cover plates, the water in the two annuli was no longer in communication except for a 6mm gap at the bottom of the lower layer -- 5mm below the screen and 1mm above (the same screen was at the bottom of both annuli). There were also three small gaps at the bottom of the inner annulus inner wall where drive wheels in the central region (see Fig. 1) propelled the screen. The gaps were partially sealed with weatherstripping, but still allowed some diffusion of water between the primary and inner annuli, and between the inner annulus and central region. In order that this transfer not affect the salt mass budgets, in 6 of the 18 primary-annulus experiments the lower layers of the inner annulus and central region were periodically stirred as necessary so that their values of  $h$  would approximately equal  $h$  of the primary annulus. Otherwise,  $h$  grew more slowly in the inner annulus than in the primary annulus. During another 5 of the experiments a smooth plexiglass plate was attached to the upper side of the screen in the primary annulus, but not in the inner annulus. Then only the central region was mechanically stirred, the mixed-layer growth rates in the two annuli being more nearly equal. In the results to be

presented, no significant difference in scaled entrainment rates could be detected between the 11 experiments in which these precautions were taken and the 7 earlier ones in which they were not. It is concluded that the rate of diffusion of denser water from inner to outer annulus through the gap at the bottom was too slow to affect  $w_e$ . Its effect on  $R_T$  and  $R_V$ , when detectable, was however taken into account.

Except in the 2 SOL experiments with heat, several (2-5) constant values of screen speed were employed in a series of smoothly connected steps in each experiment. In about half these cases the steps progressed upwards with time, and in the others, downwards. The length of each plateau of constant screen speed varied from 120 to 1000s, depending upon the entrainment rate, and an interval of 100s was allowed between plateaus for each new equilibrium to be achieved.

In the SOL experiments, the screen speed,  $v_s$ , was usually increased according to  $v_s \propto t^{1/2}$ , which yielded approximately constant  $u_*$  values; occasionally it was held constant, which yielded slowly decreasing  $u_*$  values (see KP). Screen rotation rate was also continuously logged into the data system, as was time after initiation of each experiment.

Prior to the start of each 2LS experiment the screen was rotated for a short period, thereby sharpening the interface. The mixed-layer velocity was then permitted to die out before actual measurements commenced. Even with this procedure, however, in some of our experiments in which the first plateau employed a small screen speed, results had to be discarded because of a much greater apparent entrainment rate during the first part of the first plateau than during the remaining part. In these instances the initial interface had apparently been left in a somewhat diffuse condition, so that the newly developing boundary layer at first deepened anomalously rapidly until the full density jump was encountered.

Temperature stratification was used in only a few of the experiments because the relatively rapid molecular diffusivity of heat in water precluded our studying the 2LS or achieving very large  $R_T$  values. The larger  $R_T$  values were of interest

to us when it became apparent that the centrifugal force was causing a substantial mean interfacial slope, and that only by increasing  $R_v$  and  $R_\tau$  could we minimize this slope.

### 3. Analysis

The experimental data logged onto magnetic tape was computer processed and, in most instances, automatically plotted as in Fig. 2. In this experiment (No. 10; see Table 1) the screen speed,  $v_s$ , was initially increased to a relatively large value, then decreased in steps.

Sidewall drag. At the ends of most experiments, and as in Fig. 2,  $v_s$  was increased until  $\bar{v}$  was relatively large; then  $v_s$  was decreased nearly continuously so that in the primary annulus it would match  $\bar{v}$  there as closely as possible. It was found that close matching in situ could be attained through constant visual comparison of the motion of the particles in the bulk of the mixed layer relative to that of the underlying screen, as in Fig. 2 for  $t > 2450s$ . Then  $u_*$  was essentially zero, and it was also noted that  $\partial h / \partial t$  quickly vanished after  $v_s$  was rapidly reduced. Thus, the sidewall drag coefficient,  $C_{DW}$ , could be obtained from (3), using the integrated form

$$C_{DW} = \frac{\Delta r (\bar{v}_1 - \bar{v}_2)}{2 \bar{v}_1 \bar{v}_2 (t_2 - t_1)} \quad (9)$$

where subscripts 1 and 2 refer to the beginning and end of the period over which  $v_s = \bar{v}$ .

The average value obtained from 15 such determinations is

$$C_{DW} = (3.7 \pm 0.4) \times 10^{-3} \quad (10)$$

This value agrees well with the formula for turbulent flow between smooth walls adopted by Price (1979):

$$C_{DW} = 0.04 (\bar{v} \Delta r / \nu)^{-1/4} \quad (11)$$

where  $\nu$  is the kinematic viscosity. For  $\bar{v} = 0.075 \text{ ms}^{-1}$ , a mean value during the  $u_* = 0$  tests, for  $\nu = 1.0 \text{ mm}^2 \text{ s}^{-1}$ , and for the constant in (11) extended to 0.040, (11) also yields  $3.7 \times 10^{-3}$ .

It is assumed that during entrainment the sidewall drag coefficient is still given by (11) even though stronger turbulence associated with the screen motion and with  $\Delta v$  is then superimposed upon the turbulence associated with lateral shear at and near the side walls. A reason for not accepting (11) without first a direct check was the possibility that sidewall curvature should alter the formula. The boundary layer at the outer concave wall is expected to be more turbulent than at the convex wall, since a laminar boundary layer at least would be expected to be unstable to Taylor-Görtler vortices at the concave wall. However, the most noticeable effect from visual observations was a reduction of turbulence near the inner wall, as noted by Kantha (1978) but not involving as extensive a region as reported for his smaller annulus.

Determination of  $u_*$ . With  $C_{DW}$  obtained from (11), (3) was preliminarily solved for  $u_*^2$  using the experimental observations of  $h_L(t)$  and  $\bar{v}(t)$ . As discussed earlier,  $h$  was taken to be 0.8 of the height,  $h_L$ , determined by positioning the laser beam near the outermost edge of the turbulent layer. Usually, the sidewall drag term was the dominant contributor to  $u_*^2$ . After evaluation of these data, the screen drag coefficient

$$C_{DS} \equiv u_*^2 / (v_s - \bar{v})^2 \quad (12a)$$

was calculated. Results for the primary annulus are shown in Fig. 3 as a function of the Reynolds number for the mixed layer,  $h\bar{v}/\nu$ . When the screen was not covered by the smooth plate (see Section 2),  $C_{DS}$  averaged

$$C_{DS} = 6.0 \times 10^{-3} \quad (\text{rough screen}); \quad (12b)$$

when it was covered by the plate it averaged

$$C_{DS} = 3.5 \times 10^{-3} \quad (\text{rotating smooth plate}) \quad (12c)$$

The value in (12c) is in close agreement with (11) for  $h \rightarrow 4r$ , as indicated by the solid curve in the figure and the circle data points, although the scatter leaves it uncertain if the expected Reynolds number dependence was present. Because of this scatter, we utilized the values in (12b) and (12c) for  $C_{DS}$ , and calculated  $u_*^2$  from (12a) rather than from (3) and (11) for purposes of evaluating  $w_e/u_*$  and  $R_T$ . Examination of the data in final form, using  $u_*$  values derived from both methods, indicated less scatter when (12) was the method utilized, though either method yielded the same general results.

Our values of  $u_*$  ranged between 0.3 and 1.7 cm s<sup>-1</sup> (see Table 1). Their relative uncertainty, based upon uncertainty in (12a) of 3% for  $v_s$  and 5% for  $\nabla$ , is 6%. An absolute uncertainty in the mean of some  $\pm 7\%$  is also present, judging from the scatter of Fig. 3 and including uncertainty in the  $C_{DS}$  calculation caused by uncertainty in relating  $h_L$  to  $h$ . The net uncertainty in  $u_*$  is therefore estimated to be  $\pm 9\%$ .

Entrainment rate.  $w_e$  was evaluated graphically from enlarged versions of figures like Fig. 2, taking advantage of the fact that  $w_e$  was essentially constant, for the 2LS, for a constant screen speed. It was assumed that  $\partial h / \partial t = 0.8 \partial h_L / \partial t$ . Typically, 20-50 independent measurements of local  $h_L$  values entered into each estimate of  $w_e$ . The error in  $w_e$  due to sampling is estimated to have been  $\pm 15\%$ , and not less than  $\pm 2 \times 10^{-4}$  cm s<sup>-1</sup>.

Mixed-layer velocities. Numerous measurements of individual particle mean speeds, as in Fig. 2, were graphically averaged over each entrainment period to obtain the  $\bar{v}$  values. The scatter of estimates mostly reflects sampling error rather than uncertainty in individual particle speeds. Most of the particles tracked remained in the central half, vertically, of the mixed layer during their timing, but some ranged closer to the screen or closer to  $z=h$ . The scatter provides a lower limit to an overall longitudinal turbulence intensity. For cases involving kaolin or the inner annulus, the buoy motion provided  $\bar{v}$ .



Buoyancy jump. For the 2LS, the quantity  $b = g|\Delta\rho|/\rho$ , was evaluated by assuming that  $b(\bar{h}+\delta)$  varied smoothly between initial and final measured values, where  $\bar{h} = \frac{1}{2}(h+h_2) \approx (9/8)h$  is a mean boundary-layer depth and  $\delta \approx 8\text{mm}$  is the equivalent salt-water depth below and within the screen. In many of the experiments this quantity was essentially constant, as expected for the 2LS. However, in the rough-screen experiments in which appropriate mechanical stirring in the inner annulus and central region was not provided (see Section 2),  $b(\bar{h}+\delta)$  for the primary annulus increased by up to 20% during the course of an experiment. We estimate that the relative uncertainty in our measurements of  $b\bar{h}$  is  $\pm 5\%$ , with a greater uncertainty, crudely set at  $\pm 10\%$ , attached to incomplete vertical mixing within the mixed layer. The same method of estimating  $b$  was employed whether or not the turbulent layer was well mixed in salinity.

For the experiments using heat,  $b = g\alpha\Delta T$ , where  $\alpha$  is the coefficient of thermal expansion of the water, and  $\Delta T$  is the temperature jump defined by  $T(h_2) - \bar{T}$ . Here,  $\bar{T}$  is that mean mixed-layer temperature which at any given time yields as much warming in the inner half of the mixed layer, relative to the initial temperature profile, as there was cooling in the outer half of the mixed layer.

Velocity jump. Estimations of  $v(h_2)$  described in Section 2 disclosed substantial velocities which, when scaled by  $\bar{v}$ , were found to correlate with the quantity  $w_e(h/\nu\bar{v})^{1/2}$  as in Fig. 4. With small  $w_e$  the mixed-layer momentum has greater time to propagate viscously beyond  $z=h_2$  without being overtaken by  $h_2(t)$ . The relationship found for  $\Delta v \equiv v(h_2) - \bar{v}$  is

$$|\Delta v|/\bar{v} = 0.87 + 0.057 \ln \left[ w_e(h/\nu\bar{v})^{1/2} \right] \quad (13)$$

It is ad hoc and cannot be expected to hold beyond the typical conditions encountered in our own and similar experiments. (See the Appendix for an analysis of how viscosity and time dependence are expected to have influenced  $\Delta v$  in Exps. 16, 17.) Eq. (13) was utilized to obtain corrected values in all cases for which only  $\bar{v}$ , not  $\Delta v$ , was measured. Without this correction, estimates of  $R_v$  can be substanti-

ally too small in annulus experiments.

Owing to the experimental difficulty in identifying particles very close to  $z=h_2$  and just outside of the mixed layer for tracking purposes, the relative error in  $\Delta v$  is estimated to be  $\pm 10\%$ , which is somewhat greater than suggested just by Fig. 4 and the estimated 5% uncertainty in  $\bar{v}$ .

As found by previous experimenters, the flow several centimeters or more beyond the interface was observed to be laminar in appearance; velocities were extremely weak except in prolonged experiments with especially small  $w_e$  values.

Combined uncertainties. The net estimated root-mean-square uncertainty in  $w_e/u_*$ , assuming independent error sources, is  $\pm 17\%$ , except for values less than  $4 \times 10^{-4}$  for which the uncertainty can approach  $\pm 50\%$ . The net uncertainty in  $R_T$  is similarly estimated to be  $\pm 22\%$ , and that of  $R_V$ ,  $\pm 25\%$ .

#### 4. Results

Temperature and density profiles. Temperature profiles from experiments 1 and 2 are shown in Figs. 5 and 6, respectively. Although the bulk of the mixed layer has a significant vertical gradient in  $T$ , the height  $h_2$  can be clearly determined and  $h$  estimated to be some 20 to 25% smaller. Because  $|\Delta \rho|/\rho_0$  was relatively small in these experiments with temperature,  $w_e/u_*$  was relatively large; this may have contributed to the imperfect vertical mixing inside of  $z=h$ .

Density profiles using the salinity probe were measured only during experiments 16 and 17, and are shown in Figs. 7 and 8. In Fig. 7 the profile for  $t=117s$ , for which  $w_e/u_*$  was quite large (.043), shows such a huge gradient of

$\rho$  within the turbulent layer that no jump,  $\Delta\rho$ , is evident at all. Many of the density profiles of Kantha (1978) had this appearance. The other profiles of Fig. 7 were accompanied by  $w_e/u_*$  values considerably less than 0.03 and exhibited a much more uniform appearance within the bulk of the turbulent layer. In Fig. 8 for experiment 17 the density profiles are very well mixed in appearance inside of  $z=h$ , and were accompanied by still smaller values of  $w_e/u_*$  (see Table 1). The temperature profiles in Fig. 5 were accompanied by  $w_e/u_*$  values in the neighborhood of 0.025 and are marginal in exhibiting a well mixed appearance. It might therefore be inferred that the extent of mixing, perhaps as measured by  $h(\overline{\partial\rho/\partial z})/\Delta\rho$ , is a function of  $w_e/u_*$  (see also André *et al.*, 1979), where  $\overline{\partial\rho/\partial z}$  is the mean density gradient within the inner 3/4 or so of the turbulent layer. Also,  $w_e/u_*=0.03$  may be a kind of threshold above which the mixing is highly incomplete. This approximate value also tends to separate Kantha's poorly mixed salinity profiles from the better mixed cases. However, due to the existence of the second velocity scale,  $\Delta v$ , which need not depend linearly on the first scale,  $u_*$ , this inference is uncertain.

The mean mixed-layer heights noted by the laser (near  $h_2$ ) at the average time of each temperature or density profile are also denoted in Figs. 5-8.

Although no velocity profile measurements were attempted, the visual appearance of the numerous tiny particles within the turbulent layer was always one of qualitative well mixedness for all values of entrainment rate.

Interfacial slopes. During several of the experiments  $h_2$  was measured near the inner and outer sidewalls of the primary annulus, and the associated slope over a distance of 0.15m determined. From the balance of forces for the lateral velocity component the expected slope is

$$s = \bar{v}^2 / (g\bar{r} |\Delta\rho|/\rho_0) \quad (14)$$

where  $\bar{r}$  is the mean radius. A scatter diagram of individually measured slopes

versus expression (14) is presented in Fig. 9. Although the data for small interfacial slopes lie close to the 1:1 line, the observed slopes are unexplainably smaller than the theoretical ones for values of the latter exceeding 0.10 to 0.15. However, slopes exceeding even 0.1 or 0.2 are relatively large in the present context, and are undesirable at least for the reason that entrainment must be occurring horizontally ( $u_e$ ) as well as vertically ( $w_e$ ). Only the vertical component is of direct interest here.

Overall, the net entrainment,  $v_e$ , is always considered to act normal and outward to the mean plane of the turbulent fluid interface, as in Fig. 10. Only if  $\lambda h / \partial r$  is small will  $u_e / w_e$  be correspondingly small. Considering that other uncertainties in  $w_e / u_*$  discussed in Section 3 lie around  $\pm 17\%$ , we regard the uncertainty caused by horizontal entrainment ( $u_e \approx 0.1$  to  $0.2 w_e$ ) to be of comparable magnitude and not cause for rejection of the data. It may be pointed out that horizontal entrainment does not occur freely despite the lack of a gravitational restoring force; there is a corresponding restoring force, from the viewpoint of parcel stability, associated with either the lateral pressure gradient or the centrifugal force (see Veronis, 1970).

It is not understood why slopes of similar magnitude were not reported by previous investigators. We expect the interfacial slope to have essentially the same magnitude whether the rotating mixed layer occupies the upper or the lower portion of a two-layer system. However, L. H. Kantha (personal communication) states that substantial slopes were observed, especially in his smaller annulus.

The failure of the observed interfacial slopes to obey (14) at larger slopes may have been associated with a strong decrease of  $h_2 - h$  in proceeding from the inner to the outer annulus wall, so that  $(\partial h_2 / \partial r) \ll (\partial h / \partial r)$ . Another possibility is that it was associated with a centrifugal secondary circulation which is upwards at the outer wall. This circulation was quite evident during the start-up of each experiment when an interfacial slope is becoming established, and also dur-

ing acceleration of the rotating screen at other times. However, in agreement with previous observations, it was scarcely evident during the measurements with constant, or quasi-constant, screen speed.

Entrainment versus  $R_T$  and  $R_V$ . Our main results on entrainment are shown in Fig. 11 where  $w_e/u_*$  is plotted against  $R_T$ , as is conventional. Also shown is the data swath from KPA. The latter lies above our data, the customary explanation being that  $h/\Delta r$  is larger for our data (our values of  $h/\Delta r$  range from 0.38 to 1.5 and average 0.7, while those presented by KPA were smaller than 0.5). Eq.(8) can then be invoked to show the effect of increased sidewall friction in decreasing  $w_e/u_*$ .

However, our measured values of  $R_V$  are printed at the data points of Fig. 11, and they indicate how  $R_V$  increases from values near 1 up to 5 or 10 or more, as  $R_T$  increases from 50 to 1000. Although this is not surprising in view of the high positive correlation between  $\Delta v$  and  $u_*$ , it means that the  $R_V = \text{const}$  concept which leads to (8) cannot be upheld unless almost all the data is rejected on the grounds that  $h/\Delta r$  was too large. On the other hand, if  $h/\Delta r$  were as small as 0.1 or 0.2, the turbulence Reynolds number proportional to  $hu_*/\nu$  would be considerably less than 500. The data could be rejected as not applying to the large Reynolds-number regime in which the value of  $\nu$  is irrelevant except for wall effects.

We therefore wish to introduce an alternative interpretation of the results. Suppose, as discussed in Section 1, (8) is not an entrainment law in general even for a laboratory annulus experiment. Assumption (7) then need not be considered valid in general, and conditions which cause  $R_V$  to increase beyond some expected critical value near unity need not be excluded from consideration. In particular, the laboratory entrainment results may be essentially valid even when the left-hand side of (3) is of minor importance relative to  $u_*^2$ . Then

$$R_V/R_T = u_*^2/(\Delta v)^2 \approx u_*^2/(\bar{v})^2 \approx 2C_{DW}h/\Delta r \quad (15)$$

indicating that  $R_v$  will increase along with  $R_\tau$  and faster when  $h/\Delta r$  is greater. For any given band of  $R_\tau$  values our data tend to show this relationship, there being a spread in  $R_v$  values such that greater  $R_v$  tends to be associated with greater  $h/\Delta r$ .

The alternative interpretation comes from noticing that, for a given narrow band of  $R_\tau$  values,  $w_e/u_*$  is highly correlated, inversely, with  $R_v$ . This is to be expected (since  $R_v \propto (\Delta v)^{-2}$ ) from consideration of the TKE equation wherein  $\Delta v$  is a source of TKE at the entrainment interface (see Mahrt and Lenschow, 1976; Zeman and Tennekes, 1977; Price et al., 1978) and contributes towards entrainment. Thus we prefer to consider  $w_e/u_*$  to be a function of both  $R_\tau$  and  $R_v$ , and the sloping lines in Fig. 11 represent our attempt at estimating a simple functional dependence which best fits our 2LS data. This dependence is

$$w_e/u_* = 0.33 R_\tau^{-1/2} R_v^{-1.4} \quad (16a)$$

It should be emphasized that these sloping lines are hand-fitted estimates of the  $R_v$  values actually encountered, and are not assumptions for different critical  $R_v$  values. An alternate form of (16a) is

$$w_e/\Delta v = 0.33 R_\tau^{-1} R_v^{-0.9} \quad (16b)$$

In (16a), the  $R_\tau^{-1/2}$  dependence, if  $R_v$  should happen to be constant, comes entirely from the arguments of Price (1979) and Thompson (1979), using (5) and (7), upon considering the case when no sidewall friction is present. However, we consider this dependence to remain valid even when considerable sidewall friction is present, and the inverse  $R_v$  dependence to account for the deviations from an  $R_\tau^{-1/2}$  dependence. Eq.(5) does not yield entrainment information for the opposite case of  $R_\tau$  constant and  $R_v$  variable, since  $(\partial/\partial t)R_v \neq 0$  then and (5) remains a momentum-budget equation which can say nothing about the entrainment

rate.

Eq.(16a) closely resembles the entrainment relation proposed by Kantha (1978) and mentioned in Sec. 1, except that we find the  $R_v$  exponent to lie near -1.4 instead of -0.5.

Eqs.(16a,b) are based upon the use of  $h$  as length scale. If  $\bar{h} \approx (9/8)h \approx (9/10)h_2$  is used instead, (16a) becomes

$$\bar{w}_e/u_* = 0.47 (\bar{R}_\tau)^{-1/2} (\bar{R}_v)^{-1.4} \quad (16c)$$

where the overbars here refer to the use of  $\bar{h}$  in the definitions. The precise definition adopted for the boundary-layer depth thus has a substantial influence (40% in this instance) on the proportionality constant.

If sidewall friction were to become so large that associated lateral velocity

gradients near the side walls were an important factor, along with  $\Delta v$  and  $u_*$ , in generating and maintaining mixed-layer turbulence, then we should expect  $w_e/u_*$  to depend also upon a sidewall friction velocity.  $w_e/u_*$  would then be enhanced, rather than damped, for given values of  $R_T$  and  $R_V$ . Also, if  $h/\Delta r$  much exceeds unity we might expect deviations from a dependence of  $w_e/u_*$  upon only  $R_T$  and  $R_V$  because of insufficient space for lateral eddy scales. However, for  $h/\Delta r$  as huge as 1.5 we see no dramatic evidence from Fig. 11 that these other factors were very important, considering the data uncertainties discussed in Section 3. In particular, the 5 underlined data points for the 2LS are from the inner annulus where  $\Delta r$  was only 0.54 as large as for the primary annulus, and they fit in reasonably well with the rest of the data. However, there is a systematic tendency for data points at large  $R_T$  and large  $R_V$  to have somewhat enhanced values of  $w_e/u_*$  relative to the overall fitted functional dependence. This may reflect excess turbulence energy generation due to sidewall friction when  $|\Delta v|$  was too small to mask it.

Except for this tendency, the data uncertainties discussed in Section 3 appear capable of explaining the scatter of the 2LS data points relative to (16a). (The uncertainty in  $u_*$  spreads the data points in a direction normal to the  $R_V =$  constant lines in Fig. 11.) Because of the scatter, the exponent of  $R_V$  in (16) is not yet well determined, nor is the second decimal place of the proportionality constant accurately known. The SOL data in Fig. 11 will be discussed in Section 5; the 3 kaolin 2LS data points fit in well with the salt data.

##### 5. Comparison with other experiments

SOL vs 2LS experiments. With the portrayal of  $w_e/u_*$  of Fig. 11 we no longer expect any distinction in entrainment between the SOL and 2LS experiments (KP vs KPA) that is not accounted for by a distinction in  $R_V$ . In the SOL experiment  $R_T$  starts out very small and progresses rapidly to larger values, since



$bh = (g/\rho_o) (\partial\rho/\partial z)_{\text{outer}} h^2/2$  increases as  $h^2(t)$ . In the 2LS experiment with  $u_*$  constant,  $R_T$  is constant;  $R_V$  starts out extremely large because of the finite initial  $h$  and requires on the order of 100s (or  $u_* t/h = 10$  or 20) before it reaches a quasi-constant minimum value (Price, 1979, Fig. 3). When the assumption  $R_V = \text{const.}$  is not made, it is not clear if, in comparing the two types of initial-value experiments at the same  $R_T$ , that the 2LS experiment obeying (16) will have a smaller  $R_V$  value and consequently greater entrainment than the SOL experiment, as in KPA versus KP.

To check this point, a time dependent numerical model was constructed obeying (16c), (11), (13) and (3), with the left-hand side of the latter modified to yield an entrainment momentum flux of  $-\Delta v w_e$  instead of  $\bar{v} w_e$ . The model was utilized on the KP case with initial conditions:  $\bar{h}(0) = 0.5 \text{ cm}$ ,  $(1/\rho_o) (\partial\rho/\partial z)_{\text{outer}} = 7.67 \times 10^{-3} \text{ cm}^{-1}$ , and with  $\Delta\rho/\rho_o = \frac{1}{2} (\bar{h}/\rho_o) (\partial\rho/\partial z)_{\text{outer}}$ ; and on 5 KPA cases with initial conditions:  $\bar{h}(0) = 5.4 \text{ cm}$  and initial  $\Delta\rho/\rho_o$  values yielding  $R_T = 36.2, 70.3, 150.4, 292$  and  $523$ . In all cases  $u_*$  was  $1.41 \text{ cm s}^{-1}$ ,  $\Delta r$  was  $22.8 \text{ cm}$  and  $\bar{v}(0) = 0$ . Results are those of Fig. 12, the 2LS data points being those occurring when  $R_V$  had dipped to its minimum value and was most steady, for which  $\bar{h}/\Delta r$  was in the vicinity of 0.4. The functional dependence of (16) is seen from Fig. 12 to have reproduced, qualitatively, the difference observed between the KP and KPA experiments; the latter are predicted to entrain at a rate about 1.7 times greater. However, the KP experiment is overpredicted at the larger  $R_T$  values.

The explanation for the smaller  $R_V$  values, and consequently greater  $w_e/u_*$  values enjoyed by the 2LS, is threefold and no longer as simple as when  $R_V$  is assumed constant. At an early stage, the explanation comes from noting that the normalized time ( $u_* t/h$ ) at which  $(R_T)_{\text{SOL}}$  reaches  $(R_T)_{2\text{LS}}$  is less than the time at which  $(R_V)_{2\text{LS}}$  has dipped to its minimum value. At this stage, when

sidewall friction may be ignored, and assuming  $-\Delta v = \bar{v}$ , (3) integrates to

$$\bar{v}/u_* = u_* t/h \quad (17)$$

Thus  $\bar{v}/u_*$  was greater for the 2LS, at the same  $R_\tau$ , because mixed-layer acceleration occurred over a longer time period for the 2LS. It follows from definitions (2) and (4) that  $(R_v)_{2LS} < (R_v)_{SOL}$  and hence, from (16a), that  $(w_e)_{2LS} > (w_e)_{SOL}$ . In the cases treated by Fig. 12,  $(\bar{R}_\tau)_{SOL}$  reached 36.2, 70.3 and 150.7 when  $(u_* t/\bar{h})_{SOL}$  was 6.7, 9.9 and 15.5, respectively. The corresponding dimensionless times at which  $(\bar{R}_v)_{2LS}$  was most steady were greater: 8.0, 11.8, and 19.0. The ratio between the mixed-layer velocities in the two cases becomes nearly cubed, through (4) and (16c), in its effect upon the entrainment ratio.

At a later stage, controlled by wall friction,  $(\bar{v}/u_*)_{2LS} > (\bar{v}/u_*)_{SOL}$  when  $(R_\tau)_{SOL} = (R_\tau)_{2LS}$  because  $(h/\Delta r)_{SOL}$  had become appreciably larger than  $(h/\Delta r)_{2LS}$ . Sidewall friction was then stronger for the SOL case. When  $(\bar{R}_\tau)_{SOL} = 292$  in Fig. 12 and  $(\bar{R}_v)_{2LS}$  had reached its broad minimum value,  $\bar{h}_{SOL}$  was 12.5cm while  $\bar{h}_{2LS}$  was only 7.7 cm. This occurred for  $(u_* t/\bar{h})_{SOL} = 27.1$  and  $(u_* t/\bar{h})_{2LS} = 29.3$ . This second explanation takes over well before the first one no longer holds.

In both cases, since  $(w_e)_{2LS} > (w_e)_{SOL}$ ,  $|\Delta v|/\bar{v}$  is closer to unity for the 2LS because of the viscous effect, parameterized by (13). This effect enhances  $(R_v)_{SOL}/(R_v)_{2LS}$  and amplifies somewhat the entrainment rate advantage of the 2LS in the laboratory.

With representation (16) there is thus no particular relevance to a finding of greater  $w_e/u_*$  for the 2LS than for the SOL, unless both  $R_\tau$  and  $R_v$  are matched in the two cases.

Salt versus temperature. Bearing the preceding discussion in mind, the temperature data points in Fig. 11 lie anomalously above the salt points, considering their larger  $R_v$  values. If, for  $R_\tau$  near 100 the temperature data obeyed (16a), their  $w_e/u_*$  values would be roughly a factor of two smaller than observed.

However, similar

behavior was observed in the oscillating-grid entrainment experiments of Turner (1968) and of Wolanski and Brush (1975). In those experiments an oscillating-grid velocity scale replaces  $u_*$ , and  $R_v$  is infinite and irrelevant. The entrainment differential became small or vanished as their equivalent  $R_T$  variable became small. Wolanski and Brush looked for a turbulence Reynolds number dependence, but found none. According to Crapper and Linden (1974) this may have been a Peclet number (Pe) effect, with Pe being sufficiently large when using salt that its value was immaterial, but not when using heat. Here, Pe will be defined by  $hu_*/D$ , where D is the (molecular) kinematic diffusivity in water. Our experiment with kaolin supports this conclusion, since the Brownian-motion value of D for kaolin (with particle diameters observed to be near  $1\mu m$ ) is several orders of magnitude smaller than D for salt. In preparing the kaolin suspension a small amount (0.5%) of a deflocculating agent was added in order to minimize problems with the kaolin settling out. This agent was comprised of equal parts by weight of sodium silicate solution and soda ash. However, even if this agent had a molecular diffusivity of magnitude near that of salt, its molecular diffusion across the local entrainment interface could have had no conceivable effect upon the net density contrast. In contradiction, Wolanski & Brush did obtain very significant further decreases in entrainment rate upon utilizing fluid suspensions, one of these also being kaolin. McDougall (1979) has conjectured that this result may have been some effect of a non-Newtonian viscosity of the suspension. However, we investigated the viscosity of our kaolin suspension, using a falling-sphere viscometer, and found only the expected gradual monotonic increase of apparent viscosity as the density of the suspension increased from 1.00 to 1.05. The results of Wolanski & Brush on suspensions therefore remain unexplained in our opinion.

The present results thus suggest the possibility that the molecular diffusion coefficient somehow causes enhanced entrainment for  $R_T$  values exceeding about 50

(or  $R_v$  exceeding about 1.3) when  $Pe$  is of order 10,000 or less, and not when  $Pe$  is 100 or more times greater.

Single velocity-scale experiments. Entrainment relation (16b) may prove useful in helping interpret entrainment experiments designed to have only one velocity scale,  $\Delta v$ . If the present 2LS data are plotted as  $w_e/|\Delta v|$  versus  $R_v$  and the  $u_*$  velocity scale is ignored, they appear as in Fig. 13. The inner-annulus data with larger  $R_v$  values, which were associated with large  $h/\Delta r$  values, now appear anomalous. In those cases,  $u_*/|\Delta v|$  was substantially larger for the inner annulus, indicating the need for the  $u_*$  velocity scale in addition to  $|\Delta v|$ .

In the pioneering experiment of Ellison & Turner (1959) a layer of (turbulent) fresh water flowed over a weir and then over a stagnant saline solution (along  $x$ ), the two layers having a velocity differential  $\Delta v$ . However, there was a second velocity scale in the upper layer associated with that entire layer remaining turbulent after flowing over the weir while the saline layer was non-turbulent. This velocity scale was neglected. Since, moreover, it was  $x$ -dependent and not associated with surface shear, results of their experiment can scarcely be compared with those of annulus experiments. Nevertheless, the lower range of their data is shown in Fig. 13 to indicate that theirs was a small- $R_v$  experiment.

The experiment of Lofquist (1960) comes close to being a rectilinear version of the annulus experiment. A layer of salty water was caused to flow under a stagnant, neutral fresh-water layer, and the entrainment rate of the former into the latter was deduced and interpreted, again only using the  $\Delta v$  velocity scale. The second unused velocity scale was probably associated mainly with bottom and sidewall friction. His mean data curve is shown in Fig. 13. It lies close to the present data, which is not surprising since his flow velocities were of comparable magnitude ( $2 - 13 \text{ cm s}^{-1}$ ) and similar  $u_*$  values must have occurred.

The small value of the proportionality constant,  $c$ , incurred in such an experiment when analyzed as  $w_e/|\Delta v| = cR_v^{-n}$  can therefore be explained by  $n \approx -0.9$  and  $c$  representing  $0.3R_v^{-1}$  as in (16b), with  $R_v$  ranging between 200 and 1200.

In the experiment of Moore and Long (1971) jets with compensating suction at the bottom and top plates of a toroid propelled two turbulent layers of contrasting density in opposite directions. Their mean data curve is also shown in Fig. 13 after adjusting  $R_v$  to contain the length scale  $h$ . It is not clear whether the most important second velocity scale here was associated with the jets emitted from the slots in the bottom and top surfaces, or with bottom friction and/or sidewall friction. If the appropriate second velocity scale is taken to be proportional to  $\Delta v$ , one would expect from (16b) an approximate  $R_v^{-1.9}$  dependence for their data in Fig. 13. The much less steep observed dependence, and the smaller dimensionless entrainment at the smaller  $R_v$  values, may have been caused by presence of a central laminar region for  $R_v < 3$  or 6 (see their Fig. 4 and discussion on p.644). The scaling quantities  $|\Delta v|$  and  $|\Delta \rho|$  then each become a factor of 2 or more smaller which would displace the curve upwards and to the right. This interpretation presupposes that the appropriate values of  $\Delta v$  and  $\Delta \rho$  represent property differences between well-mixed values and non-turbulent outer-edge values.

## 6. Summary of results

It is found that in annulus mixed-layer experiments where turbulence and entrainment are driven by a rotating screen,  $u_*$  can be determined satisfactorily from the momentum budget provided mixed-layer velocities are measured. A check of this conclusion came from replacing the rough screen with a smooth surface and finding that the calculated screen drag coefficient was reduced to the value appropriate for a smooth plate. Another check is that the entrainment relation from the present study can satisfactorily reproduce the result of the KP and

KPA studies.

The entrainment interface is found to slope outwards with radius, with a slope as large as 0.1 to 0.2 when treating the smaller  $R_v$  values within our annulus.

Large slopes are undesirable because horizontal entrainment is then a substantial fraction of vertical entrainment.

Judging from temperature and density profiles, the turbulent layer is found to be quite well mixed in appearance provided  $w_e/u_*$  does not exceed about 0.03 (or  $w_e/|\Delta v|$  does not exceed about 0.003). The thickness of the transition layer across which the density changes, in the mean, from the well-mixed value to the outer-layer value is found to be some 25% of the well-mixed depth,  $h$ .

The inverse Froude number,  $R_v$ , is found to vary substantially in the experiments. Only in the 2LS experiment does it dip to a quasi-constant minimum value before rising, but the minimum value reached tends to increase with increasing  $R_T$  in different experiments.

A functional dependence of scaled entrainment on both  $R_T$  and  $R_v$  is obtained in (16a):  $w_e/u_* = 0.33 R_T^{-1/2} R_v^{-1.4}$ . The  $R_T^{-1/2}$  dependence comes from the studies of Price (1979) and Thompson (1979), using the additional argument that no explicit dependence on wall friction should occur unless such friction generates appreciable turbulence kinetic energy. The  $R_v^{-1.4}$  dependence comes from the present 2LS data. Together, the dependence indicates that both  $u_*$  and  $\Delta v$  promote  $w_e$ , with the  $\Delta v$  effect being the stronger.

With this representation the relatively smaller entrainment rate in the KP (SOL) experiment than in the KPA (2LS) experiment is found in early stages to be related to the smaller dimensionless time for the SOL case at which results are compared in the two types of experiments. In later stages it is found to be due to greater relative mixed-layer depths then occurring in the SOL case which increases  $R_v$  through sidewall damping of  $\bar{v}$ .

Direct observational estimates of  $|\Delta v|$  disclose that it is typically significantly smaller than  $\bar{v}$  due to viscous transfer of momentum into the non-turbulent outer layer. The effect is greatest when  $w_e$  is least. The present data were corrected for this effect, which causes  $R_v$  to be substantially greater than otherwise suspected.

The cases studied using temperature (large D) instead of salt to provide the interfacial density jump suggest enhanced entrainment, relative to that predicted by (16). This result might be the same phenomenon observed by Turner (1968) and Wolanski and Brush (1975) in oscillating grid experiments. An experiment utilizing kaolin (small D) to provide the density contrast in the 2LS indicated no significant difference in scaled entrainment from the experiments utilizing salt.

Comparison of the present 2LS data with other entrainment experiments designed to have only one velocity scale,  $\Delta v$ , indicates strong similarity when  $w_e/|\Delta v|$  is plotted against  $R_v$ . This result suggests that the second velocity scale in those experiments associated with maintaining one or both of the layers turbulent was also important, as was  $u_*$  in the present experiments.

Although the present study made use of a wide range of  $h/\Delta r$  values in order to achieve variable sidewall friction and a consequently wide range of  $R_v$  values, in all other respects small values of  $h/\Delta r$  are desirable, along with small values of  $\Delta r/\bar{r}$  and very small values of  $h/\bar{r}$ .

Acknowledgments. This study was supported by the Office of Naval Research, and in part by the National Science Foundation, Division of Atmospheric Sciences, under grant ATM 77-24507. The technical expertise of P. Stockton in all phases of the experimental work and data collection was indispensable. Helpful suggestions for improving the experiments were made by P. Katen, and his assistance during experiments, along with that of S. Yoon, is greatly appreciated; much laborious plotting was avoided thanks to graphical displays they provided using the plotting device kindly loaned by the O.S.U. Air Resources Center. Helpful comments on the manuscript by L. Mahrt, L. Kantha, O. Zeman and J. C. André are greatly appreciated.

Appendix. Viscous propagation of momentum beyond the interface.

In order to explore the plausibility of the results of Fig. 4 and parameterization (13), the Navier-Stokes equation was solved numerically in the region  $h \leq z \leq H$ , where  $H$  is the total water depth. The coordinate system was moved vertically at the speed  $w_e$  whereupon the tangential momentum equation, averaged laterally (radially), becomes

$$\partial \bar{v}_c / \partial t = w_e \partial \bar{v}_c / \partial z - (\partial / \partial z) \overline{v'w'} + \nu \partial^2 \bar{v}_c / \partial z^2 - 2C_{DW} \bar{v}_c^2 / \Delta r \quad (A1)$$

where  $\bar{v}_c$  is the velocity component relative to the moving coordinate system based at  $z=h$ . The height  $h_2$  was assumed given by  $1.25h$ , and  $(\partial / \partial z) \overline{v'w'}$  by

$$(\partial / \partial z) \overline{v'w'} = (u_*^2 / h + w_e \Delta v / h) (-5 + 4z/h) \quad (h \leq z \leq h_2) \quad (A2)$$

$$(\partial / \partial z) \overline{v'w'} = 0 \quad (z > h_2) \quad (A3)$$

where  $\Delta v = \bar{v}_c(h_2) - \bar{v}_c(h)$ .

(A2) interpolates the turbulent frictional force linearly between its known value at the top of an ideally mixed layer and zero value at  $h_2$ .

The wall-drag term (last term) in (A1) was calculated both using the turbulent expression for  $C_{DW}$  of (11) and the laminar expression appropriate for a parabolic lateral profile, the larger of the two drag forces being used.

At  $z=h(t)$ ,  $\bar{v}_c$  was taken to be 0.9 of the well-mixed speed,  $\bar{v}$ ; at  $z=H$  it was assumed that  $\partial \bar{v}_c / \partial z = 0$ . Observed initial heights were used for  $h(0)$ , along with the observed values of  $w_e$ ,  $\bar{v}$  and  $u_*$  as step functions of time from Exps. 16 and 17. The leapfrog numerical scheme was employed, with the damping terms lagged one time step ( $\Delta t = 2$  to  $5s$ ) and with a vertical increment of  $0.5$  cm. The two numerical integrations were continued over the lifetime of the two experiments (see Table 1).

Results are shown in Fig. A1 where  $|\Delta v| / \bar{v}$  is plotted versus  $w_e (h / \nu \bar{v})^{1/2}$  on the abscissa. The results suggest that molecular viscosity was responsible for



a time-dependent upper limit to  $|\Delta v|/\bar{v}$  that can be significantly less than unity. However, comparison with (13) suggests that this upper limit was only reached during those portions of experiments having small values of  $u_*$ ,  $|\Delta v|$  and  $w_e$ . Some mechanism other than molecular viscosity may therefore have been important at other times. This mechanism may have been wave momentum transport associated with external gravity waves centered near  $z=h_2$ , and/or internal gravity waves in the SOL experiments. The wave amplitudes must increase with increased values of  $|\Delta v|$  and  $u_*$ . The numerical results thus do lend some plausibility to (13). Although the abscissa stands in need of modification that would include gravity-wave effects, (13) is used here in estimating  $\Delta v$  in the annulus, when  $v(h_2)$  was not measured, because of the good data-point correlation of Fig. 4.

TABLE 1. Experimental data. Values represent averages over the indicated time period. No comments in last column refer to the 2LS using salt in the primary annulus above the rough screen, with  $\Delta v$  obtained from  $\bar{v}$  using Eq.(13). Comments: [1] the SOL using temperature; [2] inner annulus; [3] smooth plate on top of screen in primary annulus only; [4]  $\Delta v$  obtained from direct velocity measurements on both sides of interface; [5] the 2LS using kaolin.

Exp. No.	Time (s) Begin End	$v_s$ (cm s <sup>-1</sup> )	$\bar{v}$ (cm s <sup>-1</sup> )	$\frac{ \Delta \rho }{\rho_0}$	$h$ (cm)	$u_*$ (cm s <sup>-1</sup> )	$ \Delta v $ (cm s <sup>-1</sup> )	$R_T$	$R_V$	$\frac{w_e}{u_*}$	Comments
1a	200 400	11.3	4.8	0.0019	14.6	0.50	3.8	109	1.9	0.030	[1]
b	400 600	11.3	5.2	0.0023	16.4	0.47	3.9	167	2.4	0.016	[1]
c	800 950	13.5	6.4	0.0028	20.8	0.55	5.0	189	2.3	0.024	[1]
2a	150 250	9.0	2.4	0.0006	8.8	0.51	2.0	20	1.3	0.066	[1]
b	250 400	11.4	3.8	0.0013	12.4	0.59	3.1	45	1.6	0.043	[1]
c	400 550	11.8	4.8	0.0017	15.1	0.54	3.7	86	1.8	0.019	[1]
d	550 725	12.5	5.5	0.0020	17.2	0.54	4.4	116	1.7	0.027	[1]
e	725 920	13.8	6.0	0.0023	19.7	0.60	4.7	123	2.0	0.021	[1]
3a	300 700	19.2	11.3	0.036	8.8	0.61	6.6	834	7.1	0.0013	
b	700 1150	19.3	11.8	0.035	9.0	0.58	6.4	918	7.5	0.0006	
4a	600 1100	19.9	11.9	0.057	6.5	0.62	6.8	944	7.8	0.0011	
b	1200 1700	25.4	14.3	0.054	7.1	0.86	8.8	508	4.9	0.0019	
c	1800 2300	30.5	16.5	0.050	8.3	1.08	10.9	349	3.4	0.0032	
d	2400 2800	35.5	17.8	0.040	11.2	1.47	12.3	208	3.0	0.0037	
5a	100 400	35.9	19.0	0.051	8.2	1.31	13.6	239	2.2	0.0080	
b	500 900	30.4	17.3	0.036	12.2	1.01	11.6	422	3.2	0.0034	
c	1000 1500	25.6	14.4	0.034	13.4	0.87	9.0	590	5.5	0.0017	
d	1600 2100	20.6	12.0	0.033	14.1	0.67	6.2	1016	12	0.0003	
6a	1000 1500	19.9	12.2	0.033	7.4	0.60	7.4	665	4.4	0.0022	
b	1600 1900	25.2	14.1	0.030	8.8	0.86	9.6	350	2.8	0.0056	
c	2000 2400	29.8	16.1	0.025	11.9	1.06	11.6	259	2.2	0.0079	
7a	100 400	35.3	16.8	0.025	10.7	1.43	12.7	128	1.6	0.012	
b	500 800	30.1	15.3	0.018	15.4	1.15	11.0	205	2.2	0.0064	
c	900 1300	25.5	12.7	0.016	17.3	0.99	8.5	277	3.8	0.0026	
d	1400 1900	20.5	10.5	0.016	18.2	0.77	6.5	481	6.8	0.0013	
8a	600 1100	20.1	11.9	0.029	7.6	0.64	7.3	527	4.1	0.0023	
b	1200 1700	24.9	13.8	0.024	9.4	0.86	9.3	299	2.6	0.0043	
c	1800 2070	29.7	15.2	0.019	12.6	1.12	11.2	187	1.9	0.0095	
d	2200 2433	34.8	16.2	0.0127	19.4	1.44	12.8	116	1.5	0.0153	
9a	100 500	10.2	6.0	0.021	6.4	0.33	3.4	1209	11	0.0015	
b	600 1000	15.3	9.4	0.017	8.2	0.46	5.7	646	4.2	0.0024	
c	1100 1400	20.0	11.9	0.015	9.2	0.63	8.0	341	2.1	0.0057	
d	1500 1730	24.7	13.1	0.0128	11.1	0.90	9.3	172	1.6	0.0074	
e	1800 2040	29.4	13.9	0.0092	15.7	1.20	10.9	98	1.2	0.0179	

TABLE 1 (Cont'd.)

Exp. No.	Time (s) Begin End	$v_s$ (cm s <sup>-1</sup> )	$\bar{v}$ (cm s <sup>-1</sup> )	$\frac{ \Delta\rho }{\rho_s}$	h (cm)	$u_*$ (cm s <sup>-1</sup> )	$ \Delta v $ (cm s <sup>-1</sup> )	$R_T$	$R_V$	$\frac{w_e}{u_*}$	Comments
10a	100 250	29.7	12.8	0.016	9.7	1.31	10.2	89	1.5	0.026	
b	350 550	25.0	11.8	0.011	14.7	1.02	8.9	152	2.0	0.012	
c	650 985	19.9	10.2	0.0096	16.5	0.75	6.7	276	3.5	0.0026	
d	1000 1550	15.0	8.3	0.0092	17.1	0.52	4.7	570	7.0	0.0007	
e	1700 2275	9.1	4.4	0.0090	17.4	0.36	2.4	1184	27	0.0004	
11a	350 800	15.0	9.1	0.0117	6.3	0.46	5.8	341	2.1	0.0042	
b	900 1150	20.0	10.6	0.0091	8.3	0.73	7.7	139	1.2	0.0125	
c	1250 1490	24.9	11.2	0.0051	15.5	1.06	9.1	69	0.9	0.028	
12a	100 540	25.0	15.3	0.050	6.7	0.75	9.4	584	3.7	0.0023	
b	640 1120	29.7	16.3	0.041	8.5	1.04	10.6	316	3.0	0.0028	
c	1220 1520	35.3	17.4	0.032	11.3	1.39	12.6	183	2.2	0.0067	
d	1620 1850	40.3	18.6	0.023	16.3	1.68	14.3	130	1.8	0.0106	
13a	100 195	25.0	11.9	0.0113	8.0	1.01	9.3	87	1.0	0.026	
b	260 395	20.6	11.3	0.0099	10.0	0.72	8.1	187	1.5	0.0099	
c	470 960	15.4	8.3	0.0094	11.1	0.55	5.2	338	3.8	0.0022	
d	1065 1930	10.1	5.4	0.0093	11.4	0.36	2.8	802	13	0.0004	
14a	100 200	30.0	11.4	0.0065	9.3	1.10	9.4	49	0.7	0.045	[3]
b	300 450	25.3	10.2	0.0048	14.1	0.89	7.8	84	1.1	0.014	[3]
c	600 950	20.8	8.3	0.0043	16.8	0.63	6.0	178	2.0	0.0089	[3]
d	1100 1550	15.6	6.2	0.0041	18.1	0.43	4.1	393	4.3	0.0033	[3]
e	100 200	21.1	10.3	0.0064	8.6	0.84	8.4	76	0.8	0.051	[3], [2]
f	300 450	17.8	8.0	0.0047	11.9	0.76	6.0	95	1.5	0.014	[3], [2]
g	600 950	14.7	6.4	0.0041	13.7	0.64	4.5	134	2.7	0.0061	[3], [2]
h	1100 1550	11.0	4.6	0.0038	14.8	0.50	3.0	220	6.1	0.0022	[3], [2]
15a	100 3070	15.4	8.3	0.010	7.2	0.42	5.3	400	2.5	0.0023	[3], [4]
b	3170 3680	20.3	9.6	0.0077	10.2	0.63	6.6	194	1.8	0.0065	[3], [4]
c	3780 4035	25.1	11.1	0.0059	14.3	0.83	8.1	120	1.3	0.0151	[3], [4]
d	4120 4255	30.1	11.3	0.0044	20.0	1.11	7.9	70	1.4	0.021	[3], [4]
e	100 3070	10.8	4.3	0.011	5.4	0.50	2.5	233	9.3	0.0011	[3], [2]
16a	100 150	40.0	15.3	0.0147	10.6	1.46	12.5	72	1.0	0.043	[3], [4]
b	215 265	34.6	14.6	0.0111	15.0	1.18	11.7	117	1.2	0.019	[3], [4]
c	350 430	30.0	13.1	0.0102	17.1	1.00	10.2	171	1.6	0.016	[3], [4]
d	500 830	25.3	11.1	0.0096	18.6	0.84	8.1	248	2.7	0.0040	[3], [4]
e	930 2620	20.1	8.6	0.0088	20.4	0.68	5.1	380	6.8	0.0014	[3], [4]
17a	100 1600	20.8	10.8	0.024	10.4	0.59	6.6	703	5.6	0.0015	[3], [4]
b	1740 2060	25.6	12.6	0.022	11.8	0.77	7.2	429	4.9	0.0029	[3], [4]
c	2160 2420	30.0	14.1	0.020	13.0	0.94	9.3	288	2.9	0.0043	[3], [4]
d	2520 2780	35.2	15.2	0.017	15.8	1.18	11.5	189	2.0	0.0091	[3], [4]
18a	980 1540	28.3	12.7	0.019	11.8	0.92	9.0	260	2.7	0.0066	[3], [5]
b	1650 2600	24.5	10.9	0.015	15.3	0.80	7.3	351	4.2	0.0032	[3], [5]
c	2800 5200	19.9	8.5	0.013	17.6	0.67	5.0	499	9.0	0.00074	[3], [5]

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## FIGURE CAPTIONS

Fig. 1. The primary (a) and inner (b) annulus in which the screen (d) rotates. Other parts include: (c) central region; (e) plexiglass walls of outer tank; (f) vertical lines on window for timing particle passages (lines on inner wall of primary annulus not visible); (g) salinity probe arm; (h) lasers. Reflections are present at far left and right.

Fig. 2. Computerized output from Exp. #10 as a function of time after onset of screen rotation. (•) screen speed in primary annulus; (▲) laser-height,  $h_L$ , estimate of mixed-layer depth in primary annulus; (+) mixed-layer height estimate in inner annulus which was stirred at intervals; (x) mean mixed-layer speed in primary annulus.

Fig. 3. Momentum-balance estimates of the screen drag coefficient,  $C_{DS}$  as a function of Reynolds number  $h\bar{v}/\nu$ . (x) rough screen, 2LS experiments; (+) rough screen, SOL experiments; (o) smooth screen. Smooth-surface drag-coefficient curve shown obeys (11).

Fig. 4. Direct measurements of  $|\Delta v|/\bar{v}$  as a function of  $w_e (h/\nu)^{1/2}$  from Exp. #15 (x), #16 (•), and #17 (+). In #15 and #17 the screen speed increased in steps; in #16 it decreased in steps.

Fig. 5. Temperature profiles from Exp. #1 at indicated times. Horizontal line segments denote values of  $h_L$  at time of traverse of thermocouple. Traverses were upward at  $1.2 \text{ cm s}^{-1}$ .

Fig. 6. Temperature profiles from Exp. #2. See Fig. 5 caption for further details.

Fig. 7. Density profiles from Exp. #16 at indicated times. Horizontal line segments denote  $h_L$  at the respective times. Traverses were upward at from  $1-3 \text{ cm s}^{-1}$ .

Fig. 8. Density profiles from Exp. #17. See also Fig. 7 caption.

Fig. 9. The optical interfacial slopes,  $\alpha$  (see (14)) versus sampled slopes in primary annulus,  $\partial h_1/\partial r \approx \partial h_2/\partial r$ .

Fig. 10. Vector entrainment diagram indicating net outward entrainment velocity,  $\underline{v}_e$ ; vertical entrainment rate,  $w_e$ ; and horizontal entrainment rate,  $u_e$ .

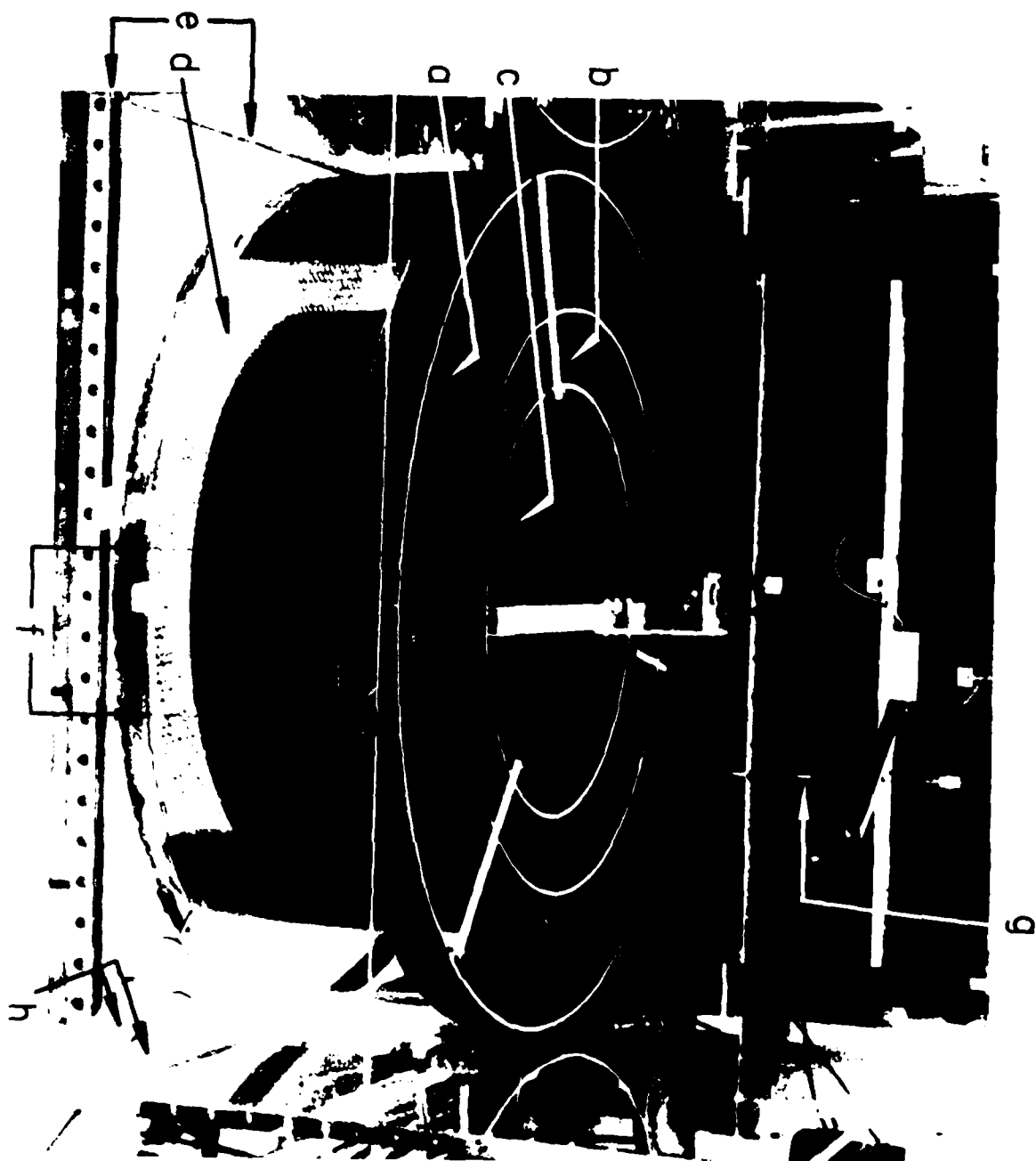
Fig. 11. Experimentally determined entrainment diagram of  $w_e/u_*$  versus  $R_T$ , using the well-mixed depth  $h$  as length scale. Printed values of  $R_v$  are encircled for experiments using temperature stratification in the primary annulus; enclosed in rectangles for the 2LS using kaolin; underlined for the inner annulus data using salt in the 2LS; unadorned for the primary annulus data using salt in the 2LS. Sloping lines obey (16a). The KPA data swath is only approximately placed since their length scale may have been  $\bar{h}$ .

Fig. 12. Entrainment diagram from numerical simulation, using (16c), of a particular KP experiment (curve); and for numerical simulation of 5 KPA experiments (dots). The (plus) and (square) symbols represent the respective KP and KPA experimental results.

Fig. 13. Entrainment diagram using only  $\Delta v$  as velocity scale, for the 2LS. Present results (dots, except I=inner-annulus results, K=kaolin results). Comparison is made with results of Ellison & Turner (1959), Lofquist (1960) and Moore & Long (1971).

Fig. A1. Numerical model results, neglecting any gravity-wave momentum transport above  $z=h_2$ , of  $(\Delta v)/\bar{v}$  versus  $w_e(h_2/\bar{v})^{1/2}$  in simulation of Exp. #16 (solid-line path) and #17 (dashed-line path). Letters denote results occurring during designated periods in Table 1. Along either path time progresses in the direction of the arrows.

Fig. 1





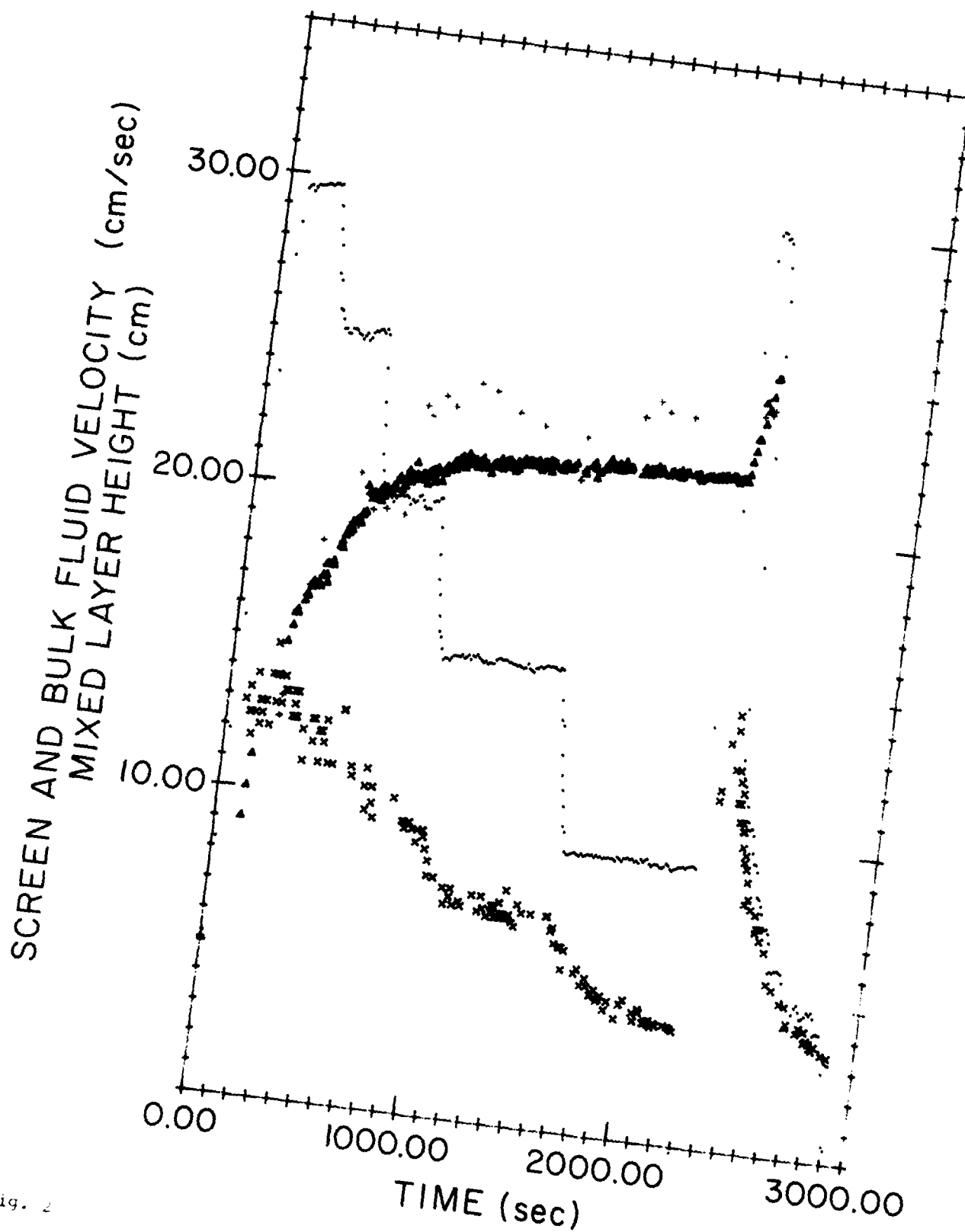


Fig. 2

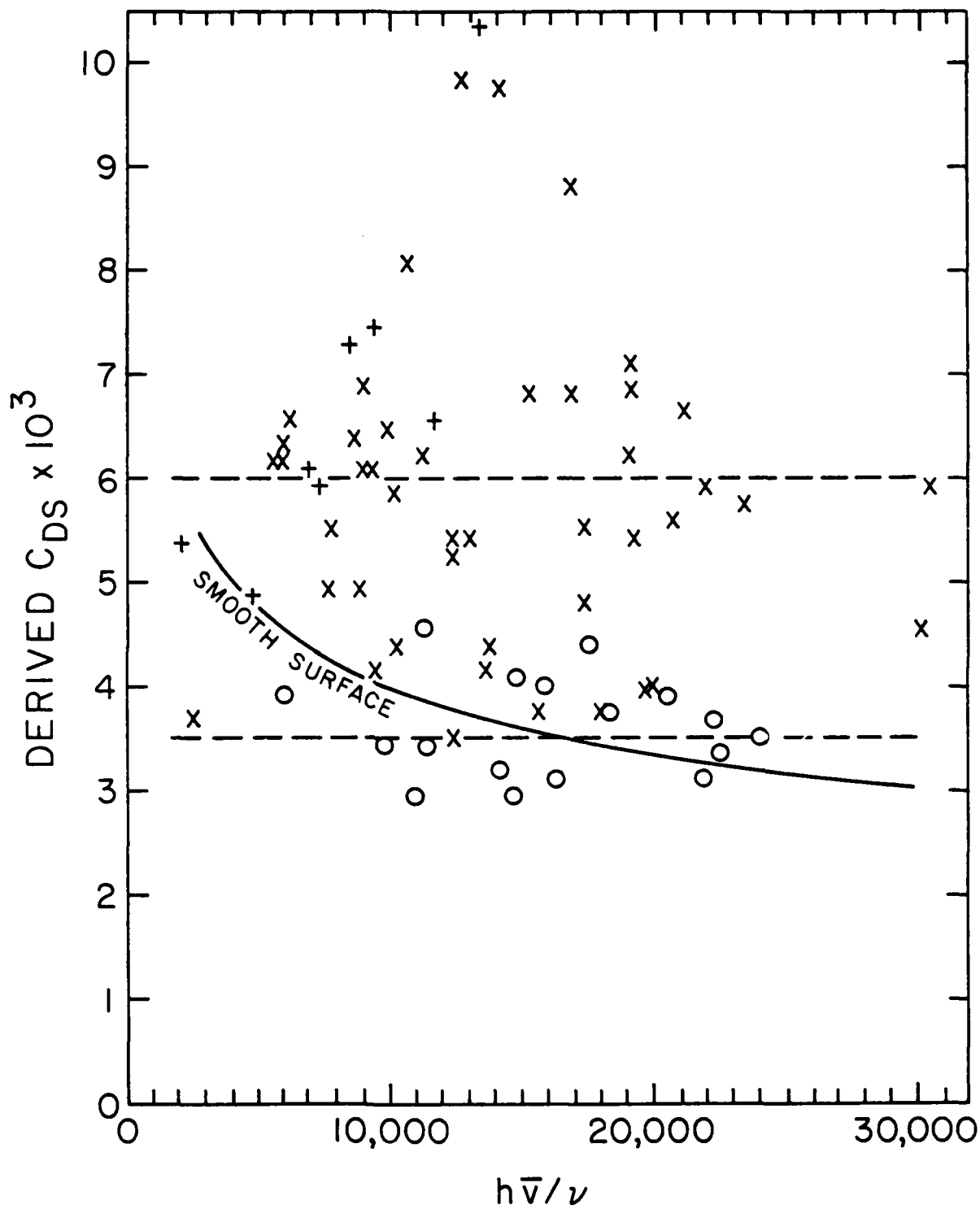


Fig. 3

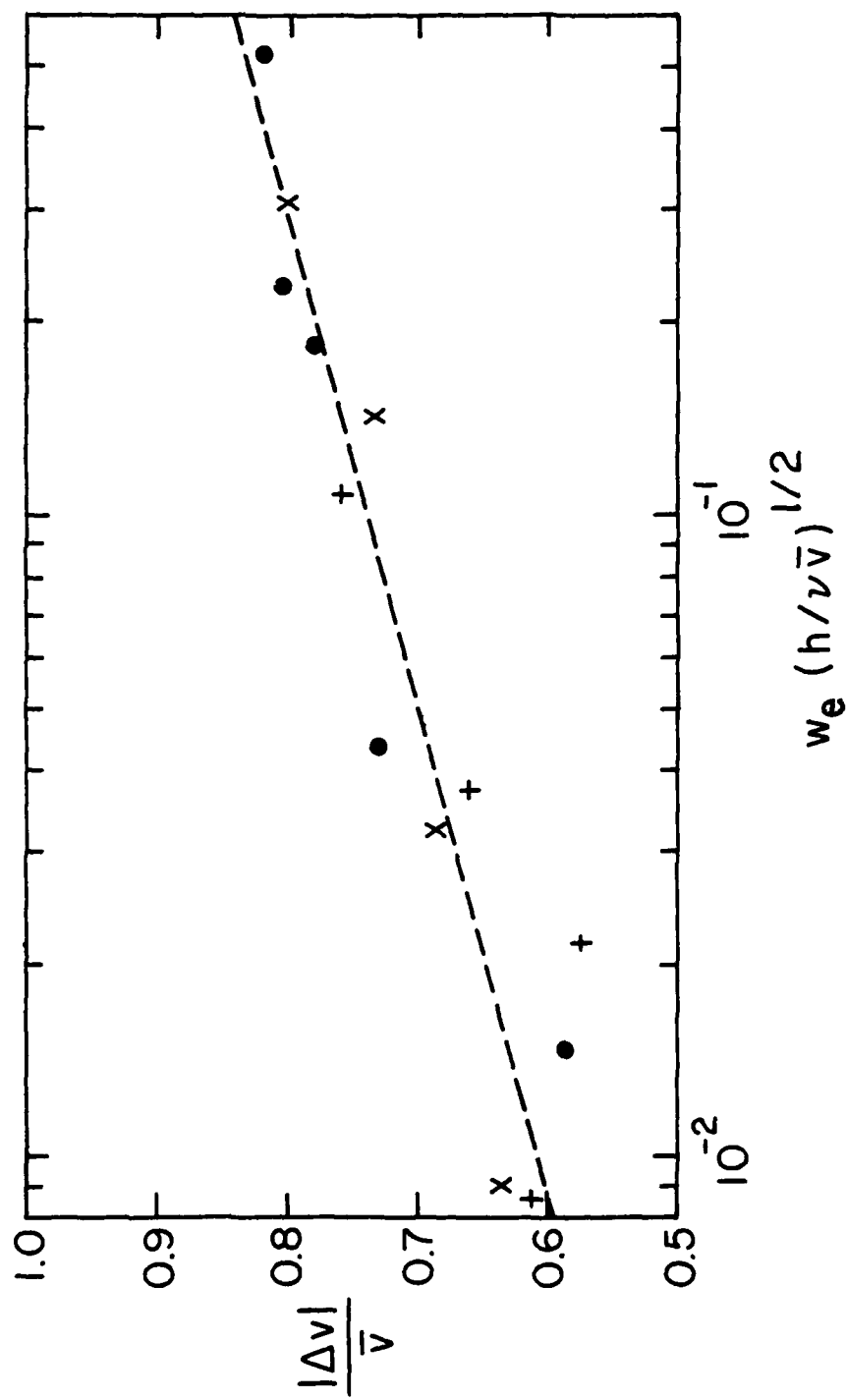


Fig. 4

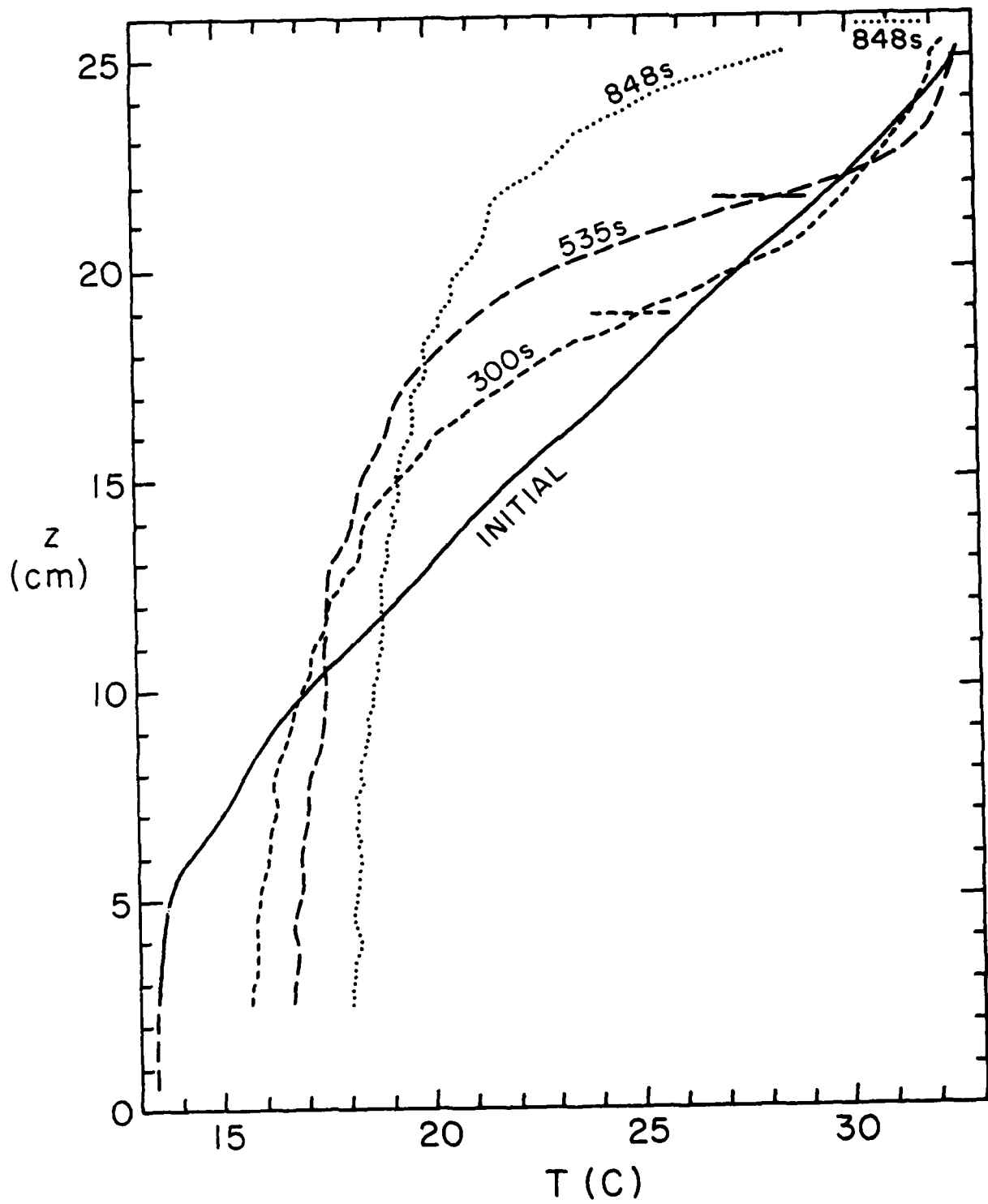


Fig. 5

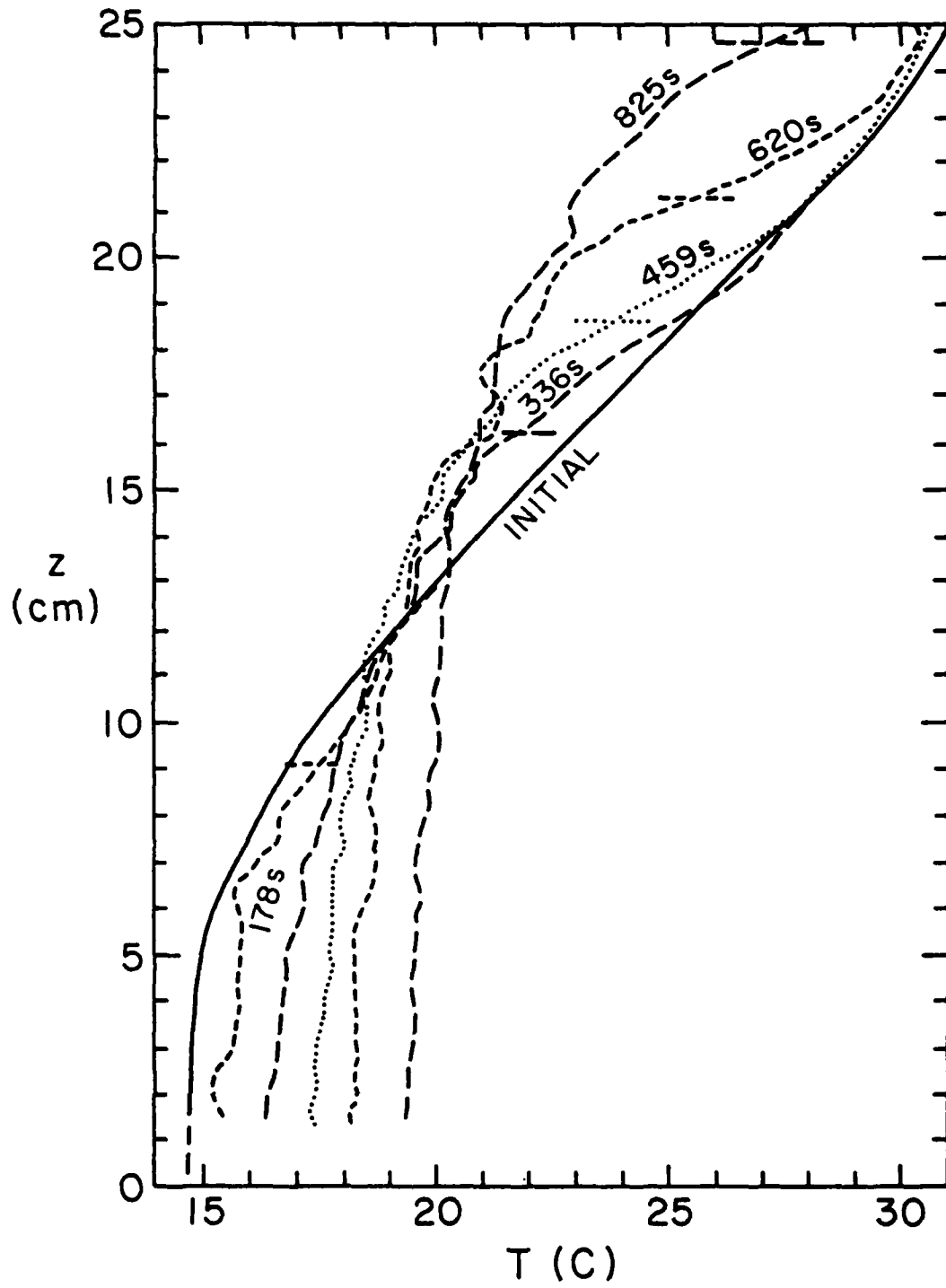


Fig. 6

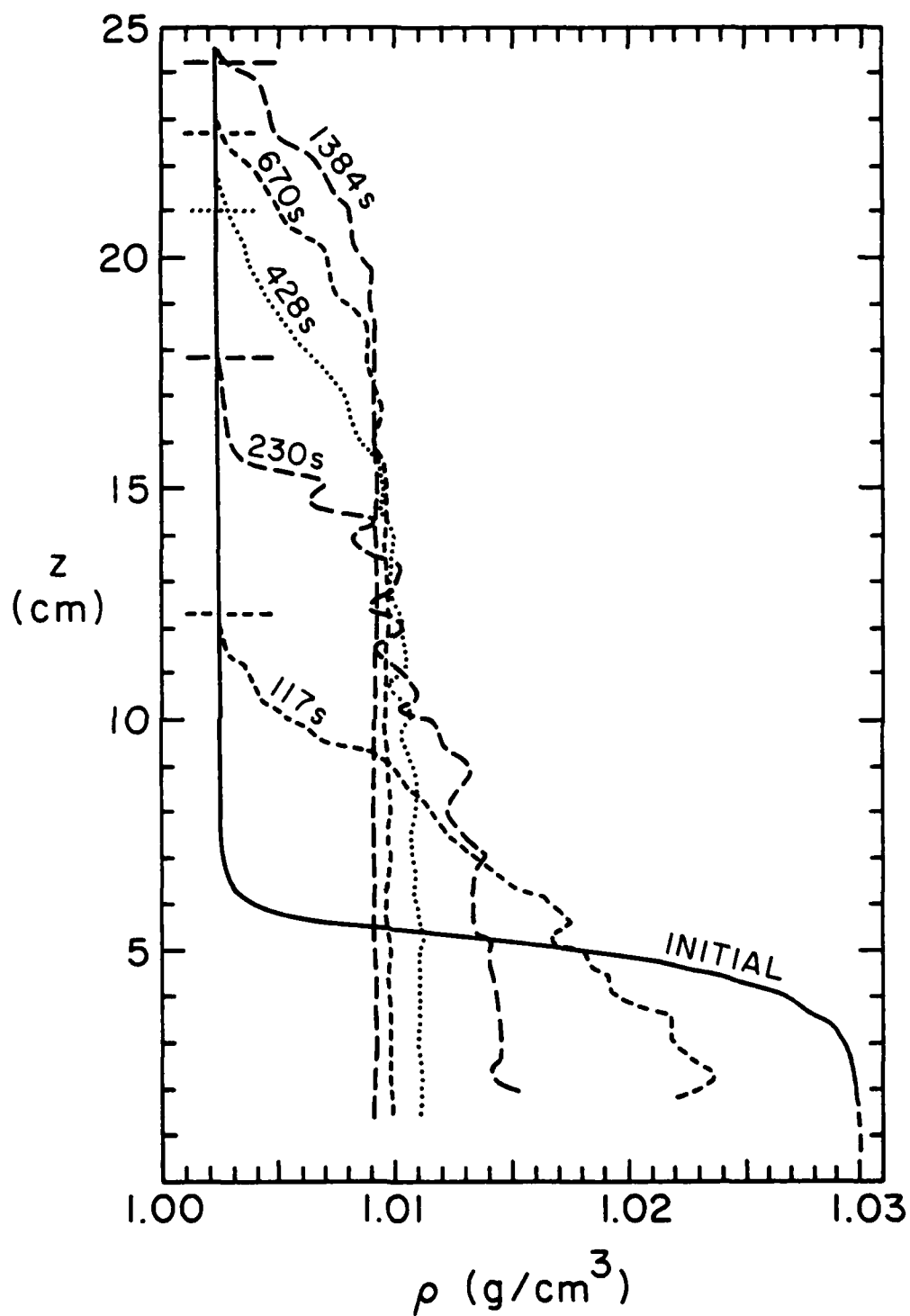


Fig. 7

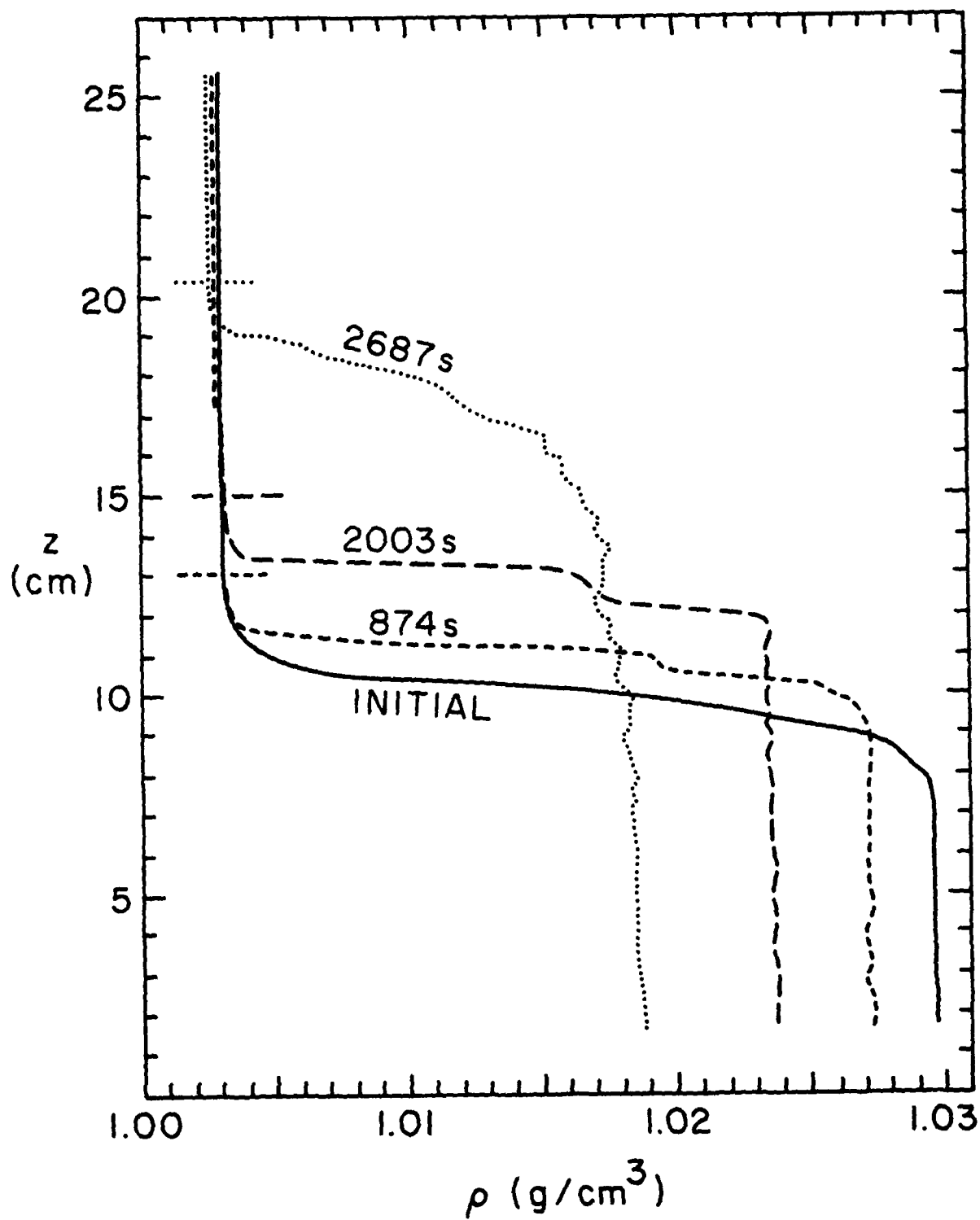
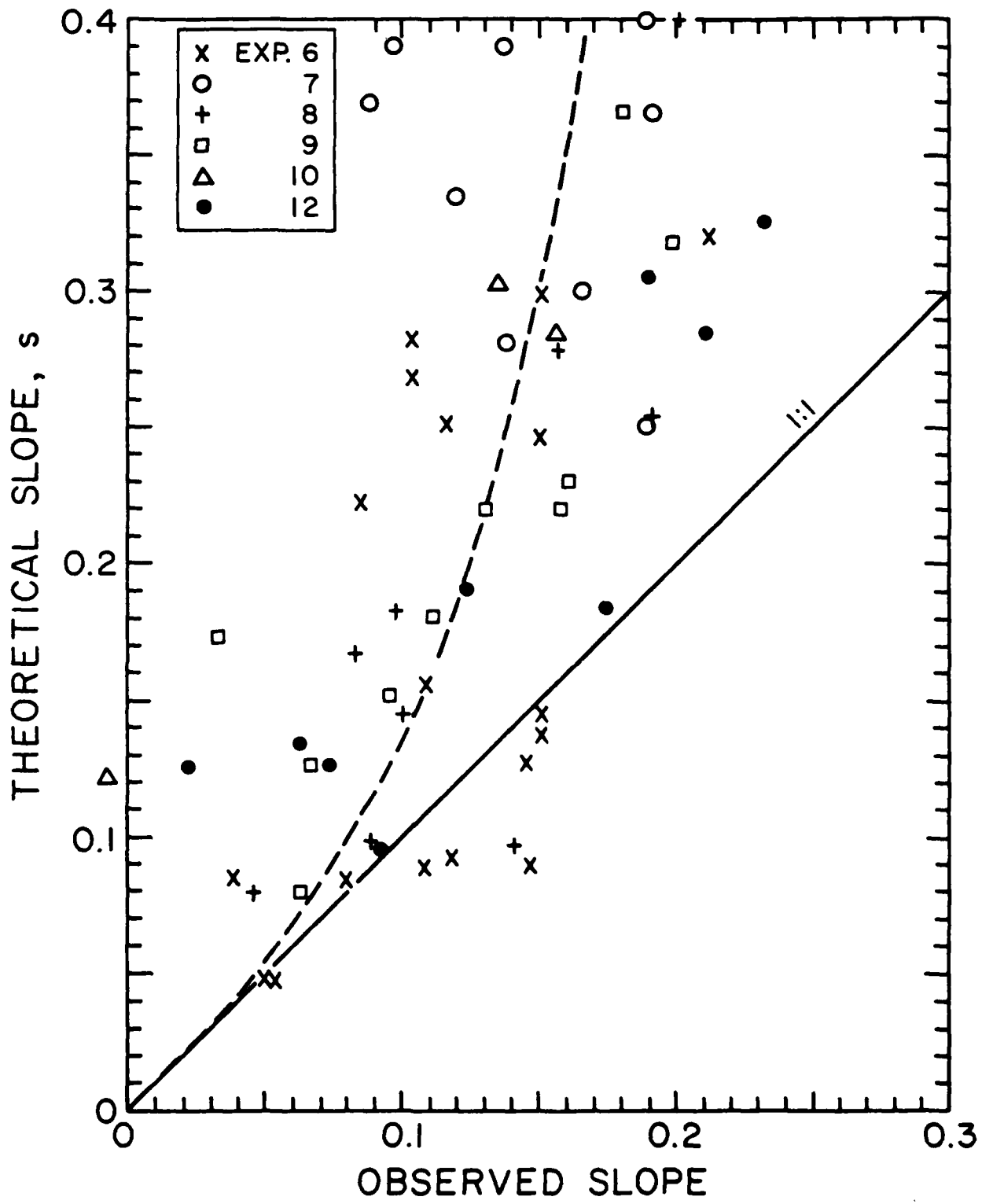


Fig. 8





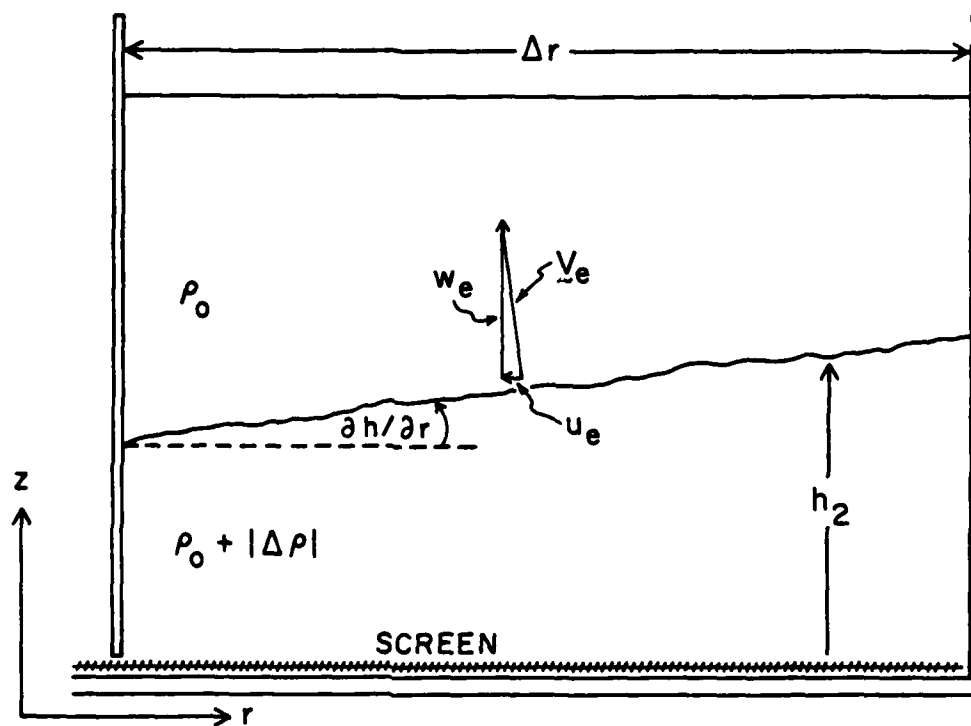


Fig. 10

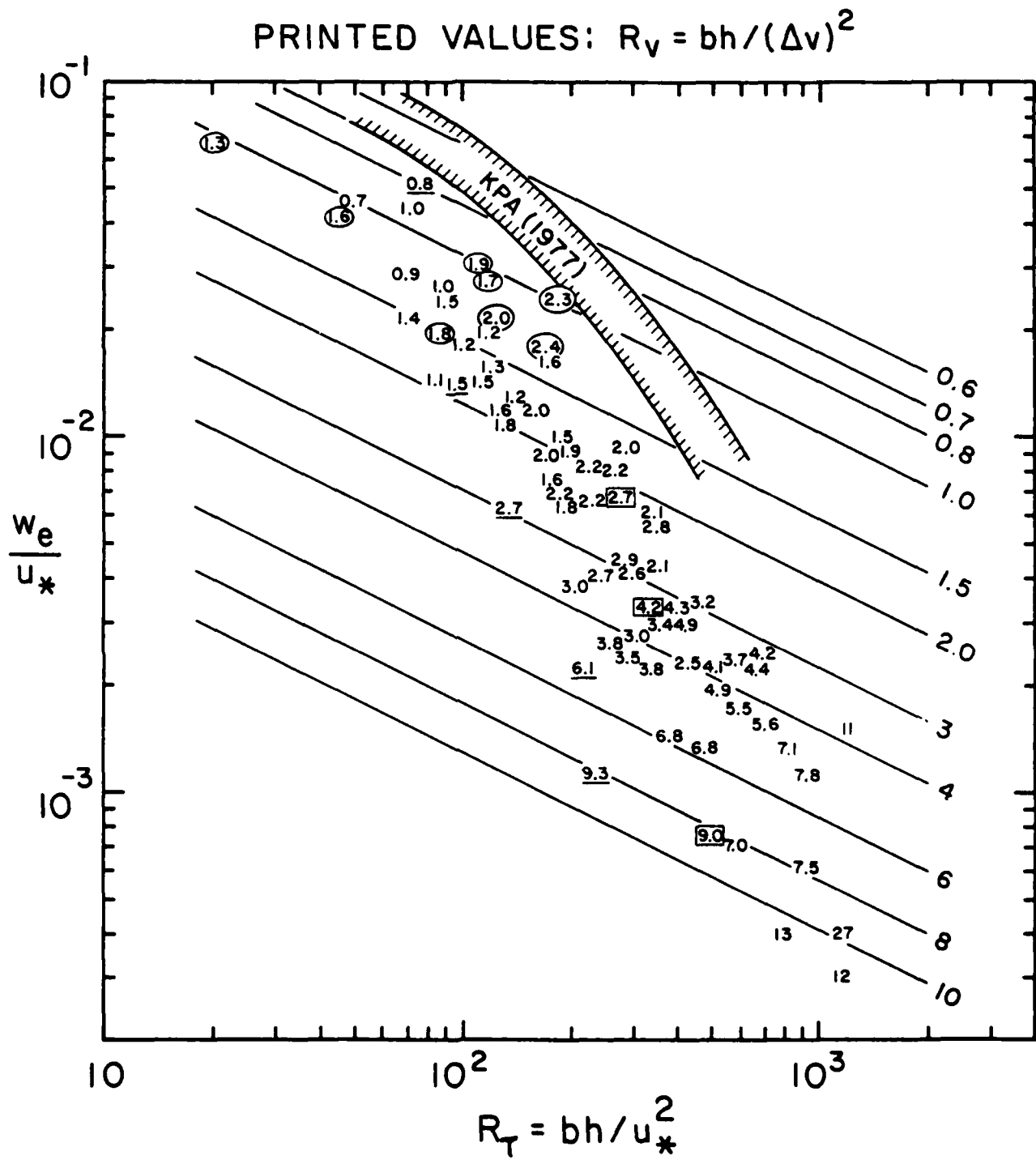


Fig. 11

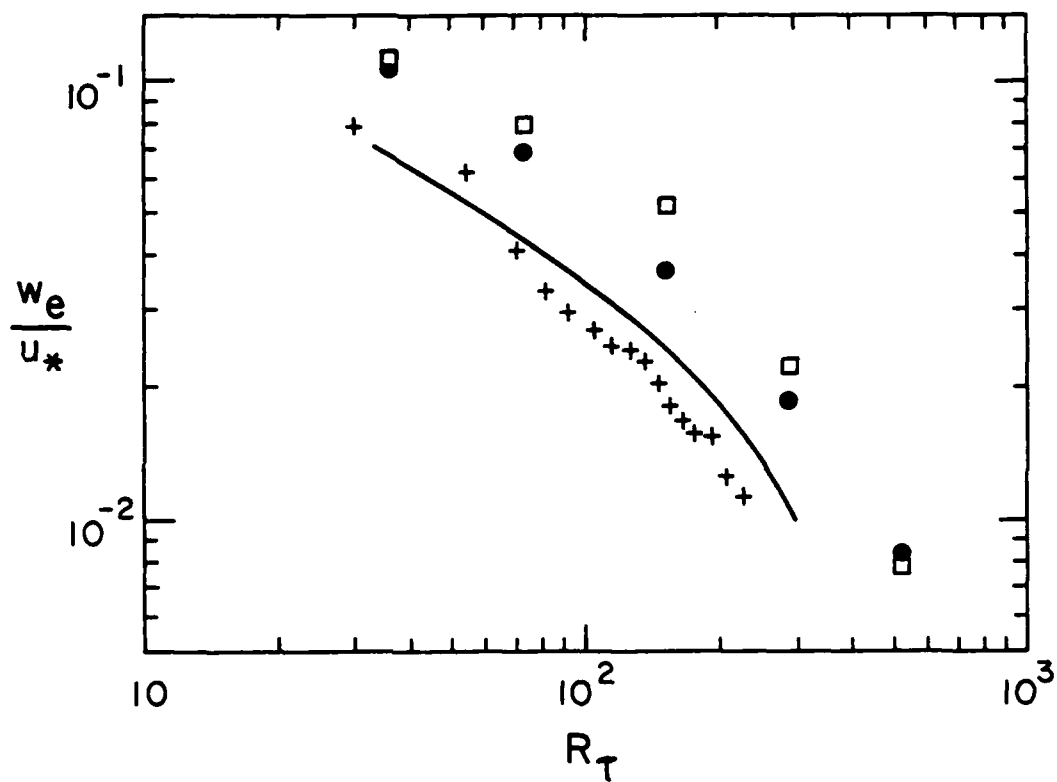


Fig. 12

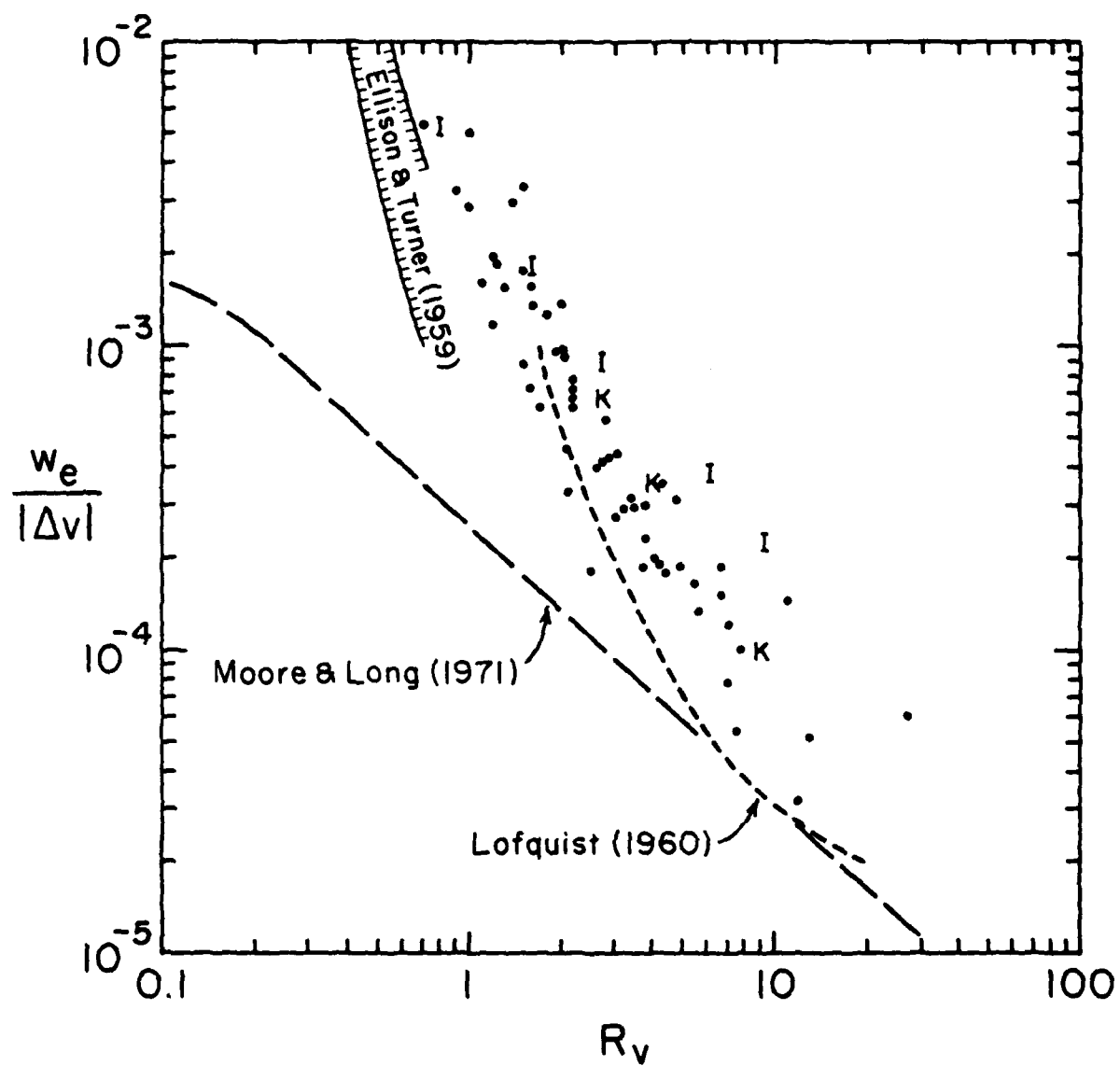


Fig. 13

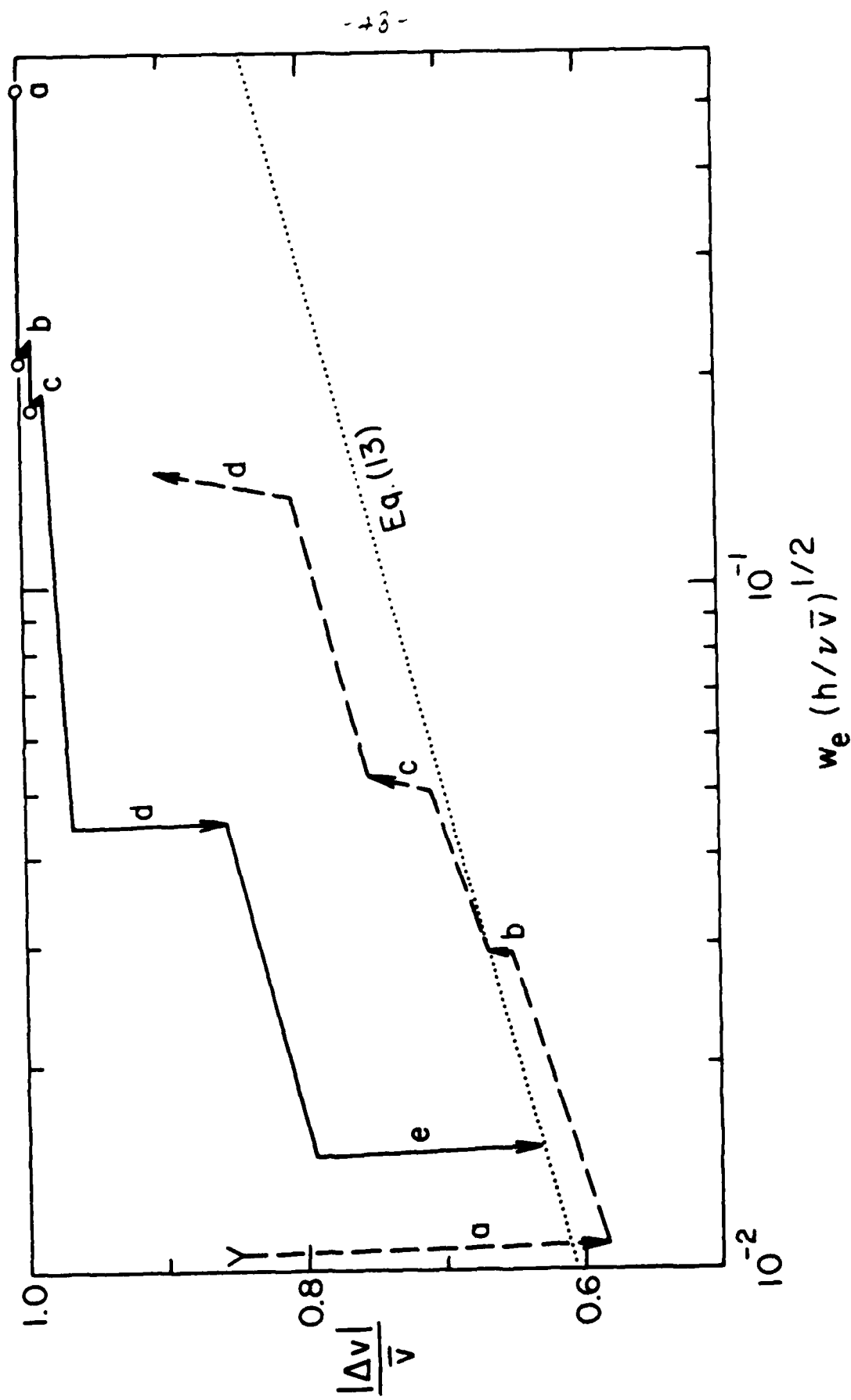


Fig. A1

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